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General Assembly

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High-level United Nations Conference on South-South Cooperation
Nairobi, 1-3 December 2009

Provisional agenda and organization of work

Note by the Secretariat

I. Provisional agenda

1. Opening of the Conference.
2. Election of the President of the Conference.
3. Adoption of the rules of procedure.
4. Adoption of the agenda and other organizational matters.
5. Election of officers other than the President.
6. Credentials of representatives to the Conference:
   (a) Appointment of members of the Credentials Committee;
   (b) Report of the Credentials Committee.
7. General debate on the theme of the Conference: Promotion of South-South cooperation for development.
8. Consideration of the draft outcome document of the Conference.
9. Interactive round tables under the overall theme "Promotion of South-South cooperation for development", focusing on the main issues before the Conference:
   (a) Strengthening the role of the United Nations system in supporting South-South and triangular cooperation (round table 1);
   (b) South-South and triangular cooperation for development: complementarities, specificities, challenges and opportunities (round table 2).
10. Reports of the Chairpersons of the round tables.
11. (a) Adoption of the outcome document of the Conference;
    (b) Adoption of the report of the Conference.
12. Closing of the Conference.

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Introduction

1. The General Assembly, in its resolutions 62/209 of 19 December 2007 and 63/233 of 19 December 2008, decided to convene a High-level United Nations Conference on South-South Cooperation in 2009 on the occasion of the thirtieth anniversary of the adoption of the Buenos Aires Plan of Action for Promoting and Implementing Technical Cooperation among Developing Countries. The General Assembly entrusted the President of the High-level Committee on South-South Cooperation to undertake the necessary consultations with Member States in preparation for the Conference. As confirmed in resolution 64/1, the Government of Kenya will host the Conference at the highest possible level from 1 to 3 December 2009 in Nairobi. Under the theme, "Promotion of South-South cooperation for development", the Conference will provide the opportunity for States Members of the United Nations and the entire United Nations development system to assess the progress made, reaffirm goals and commitments, share best practices and lessons learned and identify obstacles encountered as well as actions and initiatives to overcome them and important measures for further implementation in addition to covering new challenges and emerging issues. Furthermore, the Conference will provide an opportunity for policymakers to strategize how best to tap into the rapidly growing capacities in the South and to harness the present momentum of South-South cooperation towards reaching internationally agreed development goals, including the Millennium Development Goals.

2. The arrangements set out below have been formulated on the basis of General Assembly resolution 64/1, taking into account the report of the President of the High-level Committee on South-South Cooperation (A/63/741) of 26 February 2009.

3. The following annexes are attached to the present note:

(a) Annex I: Provisional rules of procedure;

(b) Annex II: Proposed timetable for the work of the Conference.

Election of officers

4. Rule 6 of the provisional rules of procedure provides that the Conference shall elect from among the representatives of participating States the following officers: a President, 24 Vice-Presidents and a Rapporteur-General, as well as a Chairman of the Working Committee if established in accordance with rule 46. These officials shall be elected on the basis of ensuring the representative character of the Bureau of the Conference. Its composition shall be based on that of the General Committee of the General Assembly of the United Nations at its sixty-fourth session. In accordance with tradition for similar conferences, the Conference may wish to elect the Head of State of the host country as its President. The Conference may also elect such other officers as it deems necessary for the performance of its functions.

5. Rule 11 provides that the Bureau of the Conference shall consist of the President, the Vice-Presidents, the Rapporteur-General and the Chairman of the Working Committee if established in accordance with rule 46. The 24 Vice-Presidents and the Rapporteur-General shall be distributed according to the following geographical pattern: four representatives from African States, five from

Asian States, five from Eastern European States, five from Latin America and Caribbean States and five from Western Europe and other States.

Adoption of the rules of procedure

6. The Conference will have before it for adoption the provisional rules of procedure (annex I).

Adoption of the agenda

7. The Conference will also have before it for adoption the provisional agenda.

II. Organization of work

A. Dates and venue

8. The Conference will be held at the United Nations Office, Gigiri, Nairobi, from 1 to 3 December 2009.

B. Allocation of items

9. In accordance with General Assembly resolution 64/1, it is proposed that the Conference organize its work around five plenary meetings and two interactive multi-stakeholder round tables on the subthemes of (a) Strengthening the role of the United Nations system in supporting South-South and triangular cooperation; and (b) South-South and triangular cooperation for development: complementarities, specificities, challenges and opportunities (see annex II). It is proposed that items 1 to 7, 10 and 11 of the provisional agenda be considered in plenary meetings. Discussions on the outcome document of the Conference, under item 8, will be held in the Working Committee, if established, under rule 46 of the rules of procedure, while the general debate, under item 7, will be held in plenary meetings. The first round table, under item 9, will be held concurrently with the Working Committee, if established, and with the afternoon plenary meeting of the second day. The second round table will be held concurrently with the Working Committee, if established, on the morning of the third day.

C. Plenary meetings

10. It is proposed that two plenary meetings be held on the first day, from 10 a.m. to 1 p.m. and from 3 p.m. to 6 p.m. On the second day, it is proposed to again have two plenary meetings, one in the morning from 10 a.m. to 1 p.m. and another from 3 p.m. to 6 p.m. On the third day, it is proposed to hold a plenary meeting from 3 p.m. to 6 p.m. All plenary meetings will be held in Conference Room 1. At these meetings, Heads of State or Government, ministers and heads of delegation attending the Conference will be able to make formal statements, on the understanding that the principle of precedence will be strictly applied. Each oral statement will be limited to five minutes, although this will not preclude the

distribution of more extensive texts. No delegation will be allowed to speak more than once during the general exchange of views. The opening of the list of speakers will be announced in the *Journal of the United Nations*.

11. The opening plenary meeting during the morning of Tuesday, 1 December, will begin with the formal opening of the Conference by the Secretary-General of the Conference, followed by the election of the President. Opening statements will be made by the President of the Conference, the United Nations Secretary-General and the Secretary-General of the Conference. The meeting will also consider all procedural and organizational matters, including the adoption of the rules of procedure and the agenda, the election of officers other than the President, the establishment of the Working Committee, the appointment of the members of the Credentials Committee and arrangements for the preparation of the report of the Conference.

12. The closing plenary in the afternoon of Thursday, 3 December, is expected to conclude with the adoption of the outcome document and of the report of the Conference.

D. Working Committee

13. Under the terms of General Assembly resolution 64/1, an intergovernmentally agreed outcome should be finalized by 30 November 2009.

14. Pursuant thereto, the President of the General Assembly has initiated informal consultations with all Member States under the leadership of two facilitators, with a view to producing a draft outcome document. Should an agreement on the outcome document not be reached by 30 November 2009, it is proposed that a working committee be established by the Conference to conclude the process. If established, it is proposed that the Working Committee meet from the afternoon of Tuesday, 1 December, through the morning of Thursday, 3 December, if required. In accordance with the provisions of rule 50, the Working Committee will elect its own officers. It is recommended that agreement be reached on the slate of candidates for posts falling within the ambit of the Working Committee before the opening of the Conference, thus permitting elections by acclamation and dispensing with the requirement of a secret ballot. To that end, it is suggested that the President of the General Assembly may wish to nominate the two co-facilitators, appointed for the substantive preparation of the Conference, to assume the role of the co-chairmen, subject to the approval of the Conference.

E. Round tables

15. It is proposed that the two interactive multi-stakeholder round tables be held, respectively, on Wednesday, 2 December, from 3 p.m. to 6 p.m., and Thursday, 3 December, from 10 a.m. to 1 p.m. All the round tables will be held in Conference Room 3.

16. Pursuant to General Assembly resolution 64/1, it is proposed that the round tables be held under the overall theme "Promotion of South-South cooperation for development" and address the main issues before the Conference, as follows:

(a) Round table 1: Strengthening the role of the United Nations system in supporting South-South and triangular cooperation;

(b) Round table 2: South-South and triangular cooperation for development: complementarities, specificities, challenges and opportunities.

17. Each round table will be chaired by one of the Vice-Presidents to be appointed by the President of the Conference. High-level officials from the relevant institutional stakeholders will be invited to act as moderators and/or panellists in the round tables. Each round table will include presentations by high-level multi-stakeholder panellists and a moderator. The panel discussion will be followed by an interactive debate among Member States and other relevant stakeholders. No list of speakers will be established. Each oral intervention will be limited to three minutes, although this will not preclude the distribution of more extensive texts.

18. Each round table will be open to participation by representatives of all Member States; representatives of observers, relevant entities of the United Nations system and other accredited intergovernmental organizations; representatives of accredited civil society organizations; and representatives of accredited business sector entities. Each representative may be accompanied by one adviser. The list of non-State participants in the round tables will be established on a first-come, first-served basis. The opening of the inscription of non-State entities for participation in the round tables will be announced in the *Journal of the United Nations*.

F. Timetable for the work of the Conference

19. The proposed timetable for the work of the Conference is contained in annex II.

G. Organization of meetings

20. The resources available to the Conference allow for five plenary meetings, two round tables and four meetings of the Working Committee (if necessary) with interpretation facilities.

21. In accordance with the provisions of rule 48 of the provisional rules of procedure, the Conference may establish, in addition to the Working Committee, such committees and working groups as it deems necessary for the performance of its functions. Each committee may set up subcommittees and working groups.

III. Credentials of representatives to the Conference: appointment of the members of the Credentials Committee

22. Rule 3 of the provisional rules of procedure provides that the credentials of representatives and the names of alternate representatives and advisers shall be submitted to the Secretary-General of the United Nations, if possible not less than one week before the date fixed for the opening of the Conference. The credentials shall be issued either by the Head of State or Government or by the Minister for Foreign Affairs or, in the case of the European Community, by the President of the European Commission.

23. Rule 4 of the provisional rules of procedure provides that a Credentials Committee of nine members shall be appointed at the beginning of the Conference and that its composition shall be based on that of the Credentials Committee of the General Assembly of the United Nations at its sixty-fourth session, which consisted of the following Member States: Brazil; China; Jamaica; Philippines; Russian Federation; Spain; United Republic of Tanzania; United States of America; and Zambia. The Committee shall examine the credentials of representatives and report to the Conference without delay.

IV. Participants

A. Member States and observers

24. The Conference, including the plenary and informal meetings, will be open to participation by all States Members of the United Nations, the Holy See, in its capacity as Observer State, and Palestine, in its capacity as observer, as well as intergovernmental organizations and other entities having received a standing invitation from the General Assembly to participate as observers in the sessions and work of all international conferences convened under its auspices, in accordance with the rules of procedure of the Conference.

B. Institutional stakeholders

25. Other relevant intergovernmental organizations that provide accreditation following the established procedure of applying to the General Assembly may participate in the deliberations of the Conference, as appropriate, in accordance with the rules of procedure of the Conference.

26. The above arrangements concerning participation of institutional stakeholders in the Conference will in no way create a precedent for meetings of the General Assembly.

C. Civil society and the business sector

27. Non-governmental organizations and business sector entities are invited to participate in the deliberations of the Conference, as appropriate, in accordance with the rules of procedure of the Conference. Registration will be open to all non-governmental organizations that are in consultative status with the Economic and Social Council.

28. In addition, interested non-governmental organizations and business sector entities that are not in consultative status with the Economic and Social Council may apply to the General Assembly for accreditation following the established accreditation procedure.

29. The above arrangements concerning participation of non-governmental organizations and business sector entities in the Conference will in no way create a precedent for meetings of the General Assembly.

V. Secretariat

30. The responsibilities of the secretariat of the Conference are set out in rules 14 to 16 of the provisional rules of procedure.

VI. Documentation

31. The official documentation of the Conference will include documents issued before, during and after the Conference.

A. Pre-session documentation

32. Pre-session documentation will include:

(a) Provisional agenda, organization of work and rules of procedure;

(b) Report of the Secretary-General entitled "The promotion of South-South cooperation for development: a thirty years' perspective" (A/64/504);

(c) Note by the President of the General Assembly transmitting the draft outcome document of the Conference;

(d) Information for participants.

B. In-session documentation

33. In-session documentation will include:

(a) Draft outcome document of the High-level United Nations Conference on South-South Cooperation;

(b) Draft report of the Credentials Committee;

(c) Draft summaries by the Chairperson:

(i) Plenary;

(ii) Round table 1;

(iii) Round table 2;

(d) Draft report of the Conference.

C. Post-session documentation

34. In accordance with the practice followed at previous United Nations conferences, it is recommended that the report of the Conference consist of the decisions of the Conference, a brief account of the proceedings and a repertorial account of the work of the Conference and the action taken in plenary meetings.

35. The General Assembly, in its resolution 64/1, provided, inter alia, that the Conference would result in an intergovernmentally agreed outcome, to be included in the final report of the Conference.

D. Inputs from all relevant stakeholders

36. Background information for the Conference, including inputs received from all relevant stakeholders, will be posted on the Conference website at www.southsouthconference.org.

VII. Media coverage

37. Press materials for journalists covering the Conference will be prepared by the Department of Public Information. In addition, the documents counter in the media area will provide all Conference documents, as well as press releases on its plenary meetings, round tables and other events. They will also be made available electronically at the Conference website.

accordance with rule 46. These officials shall be elected on the basis of ensuring the representative character of the Bureau of the Conference. Its composition shall be based on that of the General Committee of the General Assembly of the United Nations at its sixty-fourth session. In accordance with General Assembly resolution 64/1, the Conference shall be presided over by the Republic of Kenya. The Conference may also elect such other officers as it deems necessary for the performance of its functions.

Rule 7
General powers of the President

1. In addition to exercising the powers conferred upon him elsewhere by these rules, the President shall preside at the plenary meetings of the Conference, declare the opening and closing of each meeting, direct the discussions, ensure the observance of these rules, accord the right to speak, put questions to the vote and announce decisions. The President shall rule on points of order and, subject to these rules, shall have complete control of the proceedings and over the maintenance of order thereat. The President may propose to the Conference the closure of the list of speakers, a limitation on the time to be allowed to speakers and on the number of times each representative may speak on a question, the adjournment or closure of the debate and the suspension or the adjournment of a meeting.

2. The President, in the exercise of his/her functions, remains under the authority of the Conference.

Rule 8
Acting President

1. If the President is absent from a meeting or any part thereof, he/she shall designate one of the Vice-Presidents to take his/her place.

2. A Vice-President acting as President shall have the same powers and duties as the President.

Rule 9
Replacement of the President

If the President is unable to perform his/her functions, a new President shall be elected.

Rule 10
Voting rights of the President

The President, or a Vice-President acting as President, shall not vote in the Conference, but may appoint another member of his/her delegation to vote in his/her place.

III. Bureau of the Conference

Rule 11
Composition

The President, the Vice-Presidents, the Rapporteur-General and the Chairman of the Working Committee shall constitute the Bureau of the Conference. The President, or in his/her absence one of the Vice-Presidents designated by him/her, shall serve as Chairman of the Bureau. The Chairman of the Credentials Committee and other committees established by the Conference in accordance with rule 48 may participate, without the right to vote, in the Bureau.

Rule 12
Substitute members

If the President or a Vice-President of the Conference is to be absent during a meeting of the Bureau, he/she may designate a member of his/her delegation to sit and vote in the Bureau. In case of absence, the Chairman of the Working Committee shall designate the Vice-Chairman of that Committee as his/her substitute. When serving on the Bureau, a Vice-Chairman of the Working Committee shall not have the right to vote if he/she is of the same delegation as another member of the Bureau.

Rule 13
Functions

The Bureau shall assist the President in the general conduct of the business of the Conference and, subject to the decisions of the Conference, shall ensure the coordination of its work.

IV. Secretariat of the Conference

Rule 14
Duties of the Secretary-General of the United Nations

1. The Secretary-General of the United Nations or his/her designated representative shall act in that capacity in all meetings of the Conference and its subsidiary organs.

2. The Secretary-General of the United Nations may designate a member of the secretariat to act in his/her place at these meetings.

3. The Secretary-General of the United Nations shall direct the staff required by the Conference.

Rule 15
Duties of the secretariat

The secretariat of the Conference shall, in accordance with these rules:

(a) Interpret speeches made at meetings;

(b) Receive, translate, reproduce and circulate the documents of the Conference;

(c) Publish and circulate the official documents of the Conference;

(d) Prepare and circulate records of public meetings;

(e) Make and arrange for the keeping of sound recordings;

(f) Arrange for the custody and preservation of the documents of the Conference in the archives of the United Nations;

(g) Generally perform all other work that the Conference may require.

Rule 16
Statements by the secretariat

The Secretary-General of the United Nations, or any member of the secretariat designated for that purpose, may, at any time, make either oral or written statements concerning any question under consideration.

V. Opening of the Conference

Rule 17
Temporary President

The Secretary-General of the United Nations or, in his absence, any member of the secretariat designated by him for that purpose, shall open the first meeting of the Conference and preside until the Conference has elected its President.

Rule 18
Decisions concerning organization

The Conference shall at its first meeting:

(a) Adopt its rules of procedure;

(b) Elect its officers and constitute its subsidiary organs;

(c) Adopt its agenda, the draft of which shall, until such adoption, be the provisional agenda of the Conference;

(d) Decide on the organization of its work.

VI. Conduct of business

Rule 19
Quorum

The President may declare a meeting open and permit the debate to proceed when at least one third of the representatives of the States participating in the Conference are present. The presence of representatives of a majority of the States so participating shall be required for any decision to be taken.

Rule 20
Speeches

1. No representative may address the Conference without having previously obtained the permission of the President. Subject to rules 21, 22 and 24 to 27, the

President shall call upon speakers in the order in which they signify their desire to speak. The secretariat shall be in charge of drawing up a list of speakers.

2. Debate shall be confined to the question before the Conference and the President may call a speaker to order if his/her remarks are not relevant to the subject under discussion.

3. The Conference may limit the time allowed to each speaker and the number of times each participant may speak on any question. Permission to speak on a motion to set such limits shall be accorded to only two representatives in favour of and two opposing such limits, after which the motion shall be immediately put to the vote. In any event, with the consent of the Conference, the President shall limit each intervention on procedural matters to five minutes. When the debate is limited and a speaker exceeds the allotted time, the President shall call him/her to order without delay.

Rule 21
Points of order

During the discussion of any matter, a representative may at any time raise a point of order, which shall be immediately decided by the President in accordance with these rules. A representative may appeal against the ruling of the President. The appeal shall be immediately put to the vote, and the President's ruling shall stand unless overruled by a majority of the representatives present and voting. A representative may not, in raising a point of order, speak on the substance of the matter under discussion.

Rule 22
Precedence

The Chairman or Rapporteur of the Working Committee, or the representative of a subcommittee or working group, may be accorded precedence for the purpose of explaining the conclusions arrived at by the body concerned.

Rule 23
Closing of the list of speakers

During the course of a debate, the President may announce the list of speakers and, with the consent of the Conference, declare the list closed.

Rule 24
Right of reply

1. Notwithstanding rule 23, the President shall accord the right of reply to a representative of any State participating in the Conference or of the European Community who requests it. Any other representative may be granted the opportunity to make a reply.

2. The statements made under this rule shall normally be made at the end of the last meeting of the day, or at the conclusion of the consideration of the relevant item if that is sooner.

3. The representatives of a State or of the European Community may make no more than two statements under this rule at a given meeting on any item. The first

shall be limited to five minutes and the second to three minutes; representatives shall in any event attempt to be as brief as possible.

Rule 25
Adjournment of debate

A representative of any State participating in the Conference may at any time move the adjournment of the debate on the question under discussion. In addition to the proposer of the motion, permission to speak on the motion shall be accorded to only two representatives in favour and two opposing the adjournment, after which the motion shall, subject to rule 28, be immediately put to the vote.

Rule 26
Closure of debate

A representative of any State participating in the Conference may at any time move the closure of the debate on the question under discussion, whether or not any other representative has signified his/her wish to speak. Permission to speak on the motion shall be accorded to only two representatives opposing the closure, after which the motion shall, subject to rule 28, be immediately put to the vote.

Rule 27
Suspension or adjournment of the meeting

Subject to rule 38, a representative of any State participating in the Conference may at any time move the suspension or the adjournment of the meeting. No discussion on such motions shall be permitted and they shall, subject to rule 28, be immediately put to the vote.

Rule 28
Order of motions

The motions indicated below shall have precedence in the following order over all proposals or other motions before the meeting:

(a) To suspend the meeting;

(b) To adjourn the meeting;

(c) To adjourn the debate on the question under discussion;

(d) To close the debate on the question under discussion.

Rule 29
Submission of proposals and substantive amendments

Proposals and substantive amendments shall normally be submitted in writing o the Secretary-General of the Conference or his designated representative, who shall circulate copies to all delegations. Unless the Conference decides otherwise, substantive proposals shall be discussed or put to a decision no earlier than 24 hours after copies have been circulated in all languages of the Conference to all delegations. The President may, however, permit the discussion and consideration of amendments, even though these amendments have not been circulated or have been circulated only the same day.

Rule 30
Withdrawal of proposals and motions

A proposal or a motion may be withdrawn by its sponsor at any time before a decision on it has been taken, provided that it has not been amended. A proposal or a motion thus withdrawn may be reintroduced by any representative.

Rule 31
Decisions on competence

Subject to rule 28, any motion calling for a decision on the competence of the Conference to adopt a proposal submitted to it shall be put to the vote before a decision is taken on the proposal in question.

Rule 32
Reconsideration of proposals

When a proposal has been adopted or rejected, it may not be reconsidered unless the Conference, by a two-thirds majority of the representatives present and voting, so decides. Permission to speak on a motion to reconsider shall be accorded to only two speakers opposing reconsideration, after which the motion shall be immediately put to the vote.

VII. Decision-making

Rule 33
General agreement (consensus)

The Conference shall make its best endeavours to ensure that the work of the Conference is accomplished by general agreement (consensus).

Rule 34
Voting rights

Each State participating in the Conference shall have one vote.

Rule 35
Majority required

1. Subject to rule 33, decisions of the Conference shall be taken by a two-thirds majority of the representatives present and voting.

2. Except as otherwise provided in these rules, decisions of the Conference on all matters of procedure shall be taken by a majority of the representatives present and voting.

3. If the question arises whether a matter is one of procedure or of substance, the President of the Conference shall rule on the question. An appeal against this ruling shall be put to the vote immediately, and the President's ruling shall stand unless overruled by a majority of the representatives present and voting.

4. If a vote is equally divided, the proposal or motion shall be regarded as rejected.

Rule 36
Meaning of the phrase "representatives present and voting"

For the purpose of these rules, the phrase "representatives present and voting" means representatives casting an affirmative or negative vote. Representatives who abstain from voting shall be regarded as not voting.

Rule 37
Method of voting

1. Except as provided in rule 44, the Conference shall normally vote by show of hands, except that a representative may request a roll-call, which shall then be taken in the English alphabetical order of the names of the States participating in the Conference, beginning with the delegation whose name is drawn by lot by the President. The name of each State shall be called in all roll-calls, and its representative shall reply "yes", "no" or "abstention".

2. When the Conference votes by mechanical means, a non-recorded vote shall replace a vote by show of hands and a recorded vote shall replace a roll-call. A representative may request a recorded vote, which shall, unless a representative requests otherwise, be taken without calling out the names of the States participating in the Conference.

3. The vote of each State participating in a roll-call or a recorded vote shall be inserted in any record of or report on the meeting.

Rule 38
Conduct during voting

After the President has announced the commencement of voting, no representative shall interrupt the voting, except on a point of order in connection with the process of voting.

Rule 39
Explanation of vote

Representatives may make brief statements consisting solely of explanations of vote, before the voting has commenced or after the voting has been completed. The President may limit the time to be allowed for such explanations. The representative of a State sponsoring a proposal or motion shall not speak in explanation of vote thereon, unless it has been amended.

Rule 40
Division of proposals

A representative may move that parts of a proposal be decided on separately. If a representative objects, the motion for division shall be voted upon. Permission to speak on the motion shall be accorded to only two representatives in favour of and two opposing the division. If the motion is carried, those parts of the proposal that are subsequently approved shall be put to the Conference for decision as a whole. If all operative parts of the proposal have been rejected, the proposal shall be considered to have been rejected as a whole.

Rule 41
Amendments

A proposal is considered an amendment to another proposal if it merely adds to, deletes from or revises part of that proposal. Unless specified otherwise, the word "proposal" in these rules shall be regarded as including amendments.

Rule 42
Order of voting on amendments

When an amendment is moved to a proposal, the amendment shall be voted on first. When two or more amendments are moved to a proposal, the Conference shall vote first on the amendment furthest removed in substance from the original proposal and then on the amendment next furthest removed therefrom and so on until all the amendments have been put to the vote. Where, however, the adoption of one amendment necessarily implies the rejection of another amendment, the latter shall not be put to the vote. If one or more amendments are adopted, the amended proposal shall then be voted upon.

Rule 43
Order of voting on proposals

1. If two or more proposals, other than amendments, relate to the same question, they shall, unless the Conference decides otherwise, be voted on in the order in which they were submitted. The Conference may, after each vote on a proposal, decide whether to vote on the next proposal.

2. Revised proposals shall be voted on in the order in which the original proposals were submitted, unless the revision substantially departs from the original proposal. In that case, the original proposal shall be regarded as withdrawn and the revised proposal shall be treated as a new proposal.

3. A motion requiring that no decision be taken on a proposal shall be put to the vote before a decision is taken on the proposal in question.

Elections

Rule 44

All elections shall be held by secret ballot unless, in the absence of any objection, the Conference decides to proceed without taking a ballot when there is an agreed candidate or slate.

Rule 45

1. When one or more elective places are to be filled at one time under the same conditions, those candidates, in a number not exceeding the number of such places, obtaining in the first ballot a majority of the votes cast and the largest number of votes, shall be elected.

2. If the number of candidates obtaining such majority is less than the number of places to be filled, additional ballots shall be held to fill the remaining places, the voting being restricted to the candidates obtaining the largest number of votes in the previous ballot, in a number not exceeding twice the number of places remaining to be filled.

VIII. Subsidiary bodies

Rule 46
Working Committee

The Conference may establish a Working Committee if required, which may set up subcommittees or working groups.

Rule 47
Representation on the Working Committee

Each State participating in the Conference and the European Community may be represented by one representative on the Working Committee established by the Conference. It may assign to the Committee such alternate representatives and advisers as may be required.

Other committees and working groups

Rule 48

1. In addition to the Working Committee referred to above, the Conference may establish such committees and working groups as it deems necessary for the performance of its functions.

2. Each committee may set up subcommittees and working groups.

Rule 49

1. The members of the committees and working groups of the Conference, referred to in rule 48, paragraph 1, shall be appointed by the President, subject to the approval of the Conference, unless the Conference decides otherwise.

2. Members of the subcommittees and working groups of committees shall be appointed by the Chairman of the committee in question, subject to the approval of that committee, unless the committee decides otherwise.

Rule 50
Officers

Except as otherwise provided in rule 6, each committee, subcommittee and working group shall elect its own officers.

Rule 51
Quorum

1. The Chairman of the Working Committee may declare a meeting open and permit the debate to proceed when representatives of at least one quarter of the States participating in the Conference are present. The presence of representatives of a majority of the States so participating shall be required for any decision to be taken.

2. A majority of the representatives of the Bureau or the Credentials Committee or of any committee, subcommittee or working group shall constitute a quorum, provided that they are representatives of participating States.

Rule 52
Officers, conduct of business and voting

The rules contained in sections II, VI (except rule 19) and VII above shall be applicable, mutatis mutandis, to the proceedings of committees, subcommittees and working groups, except that:

(a) The Chairmen of the Bureau and the Credentials Committee and the Chairmen of the committees, subcommittees and working groups may exercise the right to vote, provided that they are representatives of participating States;

(b) Decisions of committees, subcommittees and working groups shall be taken by a majority of the representatives present and voting, except that the reconsideration of a proposal or an amendment shall require the majority established by rule 32.

IX. Languages and records

Rule 53
Languages of the Conference

Arabic, Chinese, English, French, Russian and Spanish shall be the languages of the Conference.

Rule 54
Interpretation

1. Speeches made in a language of the Conference shall be interpreted into the other such languages.

2. A representative may speak in a language other than a language of the Conference if the delegation concerned provides for interpretation into one such language.

Rule 55
Languages of official documents

Official documents of the Conference shall be made available in the languages of the Conference.

Rule 56
Sound recordings of meetings

Sound recordings of meetings of the Conference and of the Working Committee shall be made and kept in accordance with the practice of the United Nations. Unless otherwise decided by the Conference or the Working Committee, no such recordings shall be made of the meetings of any working group thereof.

X. Public and private meetings

General principles

Rule 57

The plenary meetings of the Conference and the meetings of any committee shall be held in public unless the body concerned decides otherwise. All decisions taken by the Plenary of the Conference at a private meeting shall be announced at an early public meeting of the Plenary.

Rule 58

As a general rule, meetings of the Bureau, subcommittees or working groups shall be held in private.

Rule 59
Communiqués on private meetings

At the close of a private meeting, the presiding officer of the organ concerned may issue a communiqué through the Secretary-General of the Conference or his designated representative.

XI. Other participants and observers

Rule 60
Representatives of intergovernmental organizations and other entities that have received a standing invitation from the General Assembly to participate in the capacity of observer in the sessions and work of all international conferences convened under its auspices

Save where otherwise specifically provided with respect to the European Community in these rules of procedure, representatives designated by intergovernmental organizations and other entities that have received a standing invitation from the General Assembly to participate in the sessions and work of all international conferences convened under its auspices have the right to participate as observers, without the right to vote, in the deliberations of the Conference, the Working Committee, the round tables and, as appropriate, any other committee or working group.

Rule 61
Representatives of the specialized agencies and related organizations

Representatives designated by the specialized agencies, regional commissions, and related organization[a] may participate, without the right to vote, in the deliberations of the Conference, the Working Committee and, as appropriate, any other committee or working group on questions within the scope of their activities.

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[a] These include the International Atomic Energy Agency, the World Trade Organization, the Preparatory Commission for the Comprehensive Nuclear-Test-Ban Treaty Organization and the Organization for the Prohibition of Chemical Weapons.

Rule 62
Representatives of other intergovernmental organizations

Representatives designated by other intergovernmental organizations accredited to the Conference may participate as observers, without the right to vote, in the deliberations of the Conference, the Working Committee and, as appropriate, any other committee or working group on questions within the scope of their activities.

Rule 63
Representatives of interested United Nations organs

Representatives designated by interested organs of the United Nations may participate as observers, without the right to vote, in the deliberations of the Conference, the Working Committee and, as appropriate, any other committee or working group on questions within the scope of their activities.

Rule 64
Representatives of non-governmental organizations

Non-governmental organizations accredited to participate in the Conference may designate representatives to sit as observers at public meetings of the Conference and the Working Committee and to participate in the deliberations of the round tables.

Rule 65
Representatives of business sector entities

Business sector entities accredited to participate in the Conference may designate representatives to sit as observers at public meetings of the Conference and the Working Committee and to participate in the deliberations of the round tables.

Rule 66
Associate members of regional commissions

Representatives designated by associate members of regional commissions[b] may participate as observers, without the right to vote, in the deliberations of the Conference, the Working Committee and, as appropriate, any other committee or working group.

Rule 67
Written statements

Written statements submitted by the designated representatives referred to in rules 60 to 66 shall be distributed by the secretariat to all delegations in the quantities and in the language in which the statements are made available to it at the site of the Conference, provided that a statement submitted on behalf of a non-governmental organization or business sector entity is related to the work of the Conference and is on a subject in which the organization has a special competence.

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[b] American Samoa, Anguilla, Aruba, British Virgin Islands, Commonwealth of the Northern Mariana Islands, French Polynesia, Guam, Montserrat, Netherlands Antilles, New Caledonia, Puerto Rico and United States Virgin Islands.

XII. Suspension and amendment of the rules of procedure

Rule 68
Method of suspension

Any of these rules may be suspended by the Conference provided that 24 hours' notice of the proposal for the suspension has been given, which may be waived if no representative objects. Any such suspension shall be limited to a specific and stated purpose and to a period required to achieve that purpose.

Rule 69
Method of amendment

These rules of procedure may be amended by a decision of the Conference taken by a two-thirds majority of the representatives present and voting, after the Bureau has reported on the proposed amendment.

Annex II

Proposed timetable for the work of the High-level United Nations Conference on South-South Cooperation

Date/time	*Agenda item/programme*	
Tuesday, 1 December		
Opening plenary meeting		
10 a.m.-1 p.m.	1	Opening of the Conference
	2	Election of the President of the Conference
	3	Adoption of the rules of procedure
	4	Adoption of the agenda and other organizational matters
	5	Election of officers other than the President
	6	Credentials of representatives to the Conference:
		(a) Appointment of members of the Credentials Committee
		(b) Report of the Credentials Committee
	7	General debate on the theme of the Conference: Promotion of South-South cooperation for development
Working Committee[a]		
10 a.m.-1 p.m.	8	Consideration of the draft outcome document of the Conference
Plenary meeting		
3-6 p.m.	7	General debate on the theme of the Conference: Promotion of South-South cooperation for development
Working Committee[a]		
3-6 p.m.	8	Consideration of the draft outcome document of the Conference
Wednesday, 2 December		
Plenary meeting		
10 a.m.-1 p.m.	7	General debate on the theme of the Conference: Promotion of South-South cooperation for development
Working Committee[a]		
10 a.m.-1 p.m.	8	Consideration of the draft outcome document of the Conference

Date/time	*Agenda item/programme*	
Plenary meeting		
3-6 p.m.	7	General debate on the theme of the Conference: Promotion of South-South cooperation for development
Round tables		
3-6 p.m.	9 (a)	Round table 1: Strengthening the role of the United Nations system in supporting South-South and triangular cooperation
Working Committee[a]		
3-6 p.m.	8	Consideration of the draft outcome document of the Conference
Thursday, 3 December		
Round tables		
10 a.m.-1 p.m.	9 (b)	Round table 2: South-South and triangular cooperation for development: complementarities, specificities, challenges and opportunities
Working Committee[a]		
10 a.m.-1 p.m.	8	Consideration of the draft outcome document of the Conference
Closing plenary meeting		
3-6 p.m.	10	Reports of the Chairperson of the round tables
	11 (a)	Adoption of the outcome document of the Conference
	11 (b)	Adoption of the report of the Conference
	12	Closing of the Conference

[a] As required.

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General Assembly

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Sixty-fourth session
Agenda item 142
Administration of justice at the United Nations

Administration of justice

Report of the Advisory Committee on Administrative and Budgetary Questions

I. Introduction

1. The Advisory Committee on Administrative and Budgetary Questions has considered the report of the Secretary-General on the outcome of the work of the Joint Appeals Board during 2007 and 2008 and between January and June 2009 and statistics on the disposition of cases and work of the Panel of Counsel (A/64/292), as well as his report on the activities of the Office of the United Nations Ombudsman and Mediation Services (A/64/314). During its consideration of the reports, the Committee met with representatives of the Secretary-General, who provided additional information and clarification.

II. Outcome of the wc . of the joint appeals boards during 2007 and 2008 and between January and June 2009 and statistics on the disposition of cases and work of the Panel of Counsel

2. Pursuant to resolution 63/253, the General Assembly decided to abolish the joint appeals boards and the joint disciplinary committees as of 1 July 2009. The report of the Secretary-General (A/64/292) is therefore the last report on the work of these bodies.

3. The Advisory Committee notes that there was a small increase in both the number of appeals filed and the number of cases disposed of by the joint appeals boards in 2008 (see table 1 of A/64/292). As indicated in paragraph 8 of the report, there was a more than threefold increase in the number of disciplinary cases referred to the New York Joint Disciplinary Committee (from 32 in 2007 to 102 in 2008). The number of appeals and suspension of action cases filed and disposed of by all joint appeals boards in the period from January to June 2009 is shown in table 4 of

09-58594 (E) 031109

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the report. Upon enquiry, the Committee was informed that a total of 142 cases had been transferred to the three United Nations Dispute Tribunal registries from the joint appeals board/joint disciplinary committee secretariats in Geneva, Nairobi and New York. Since 1 July 2009, 68 new cases have been filed and 21 cases have been decided, leaving 178 pending cases as at 30 September 2009.

4. A total of 384 new cases were brought to the Panel of Counsel in New York in 2008, an increase of 13.3 per cent in comparison with 2007. Of these, 286 went through the formal appeals process and 98 were dealt with informally.

5. Upon request, the Advisory Committee was provided with information concerning monetary compensation recommended by the Joint Appeals Board between 1 January 2007 and 30 June 2009. It was informed that, during that period of two and a half years, the New York Joint Appeals Board submitted reports for 172 appeals, of which 167 received a decision by the Secretary-General. In 56 of those cases, the Joint Appeals Board recommended monetary compensation in favour of the appellants. The Secretary-General fully or partially accepted 33 of those cases and authorized the payment of a total of 177 months, or nearly 15 years, of net base salary. **The Advisory Committee recommends that similar information concerning the joint appeals boards in Geneva, Nairobi and Vienna be provided to the General Assembly at the time of its consideration of the report of the Secretary-General. Furthermore, an analysis of monetary compensation awarded and indirect costs associated with an appeal (such as staff time) should be provided in the next report on the administration of justice. Such an analysis should include identification of those aspects of staff administration that give rise to a large number of appeals and should compare data from the old system with data from the new system.**

6. The Advisory Committee was also informed, upon enquiry, that the Organization had made a clear distinction between instances when a financial loss was a result of gross negligence and instances when a financial loss resulted from an inadvertent error, oversight or inability to foresee the negative consequences of a chosen decision. Under the former system for the administration of justice, cases involving the accountability of officials for causing financial loss to the Organization were addressed under former staff rule 112.3, which reads: "Any staff member may be required to reimburse the United Nations either partially or in full for any financial loss suffered by the United Nations as a result of the staff member's negligence or of his or her having violated any regulation, rule or administrative instruction." The appeals process focused, however, on whether the rights of the staff member seeking redress had been violated and did not include provision for a determination as to whether the actions of the decision maker had amounted to a violation of former staff rule 112.3.

7. The Advisory Committee enquired about measures in place under the new system for the administration of justice to provide for the accountability of officials for causing financial loss to the Organization. The Committee was informed that the new system provided for such links to accountability as management evaluation, which is the mandatory first step of formal proceedings. The Committee was informed that management evaluation was linked to individual managerial accountability through a series of measures, such as individual performance appraisals, senior managers' compacts, the human resources action plan, possible withdrawal of delegation of authority for decision-making, if warranted, and the

initiation of an investigation when the record indicated possible misconduct. Furthermore, the United Nations Dispute Tribunal may also refer appropriate cases to the Secretary-General for possible action to enforce accountability.

III. Activities of the Office of the United Nations Ombudsman and Mediation Services

8. The Advisory Committee notes that the report of the Secretary-General on the activities of the Office of the United Nations Ombudsman and Mediation Services is the first joint report for the entities covered by the Office: the United Nations Secretariat, funds and programmes and the Office of the United Nations High Commissioner for Refugees (UNHCR). The report covers activities during 2008. The Committee notes that reporting periods for the various entities covered by the Office have been aligned to permit consolidated reporting. It should be noted, however, that there was limited ability to compare issues throughout the constituency because case categorization schemes used by the Secretariat differed from those used by its counterparts. A common scheme is being used as of 2009, which will enhance identification of cross-cutting issues.

9. The Advisory Committee notes that, overall, there has been an increase in the volume of cases (2.1 per cent), with the total number reaching nearly 1,400 in 2008. The majority of cases emanated from country offices, field offices and peacekeeping operations (roughly 74 per cent). The Committee was informed that the regional branch offices, which are to be based in Bangkok, Geneva, Khartoum, Kinshasa, Nairobi, Santiago and Vienna, should be up and running within four to six weeks and that, with their establishment, it was anticipated that the number of cases would increase. **The Committee expects that, once the regional branch offices become operational, the need for travel from Headquarters should diminish**. Upon request, the Committee was provided with a table on the number of cases opened and closed during 2008 and closed as at 1 October 2009 (see annex).

10. Chapter IV of the report of the Secretary-General identifies some systemic issues inherent in all the entities that the Office serves, including concerns related to (a) career progression and development; (b) leadership and managerial effectiveness; (c) the investigative process for alleged misconduct; and (d) interpretation of academic qualifications. **The Advisory Committee emphasizes the importance of interaction between the Office of the United Nations Ombudsman and Mediation Services and other parts of the Secretariat, such as the Office of Human Resources Management, to ensure that systemic issues are adequately addressed. In this connection, the Committee reiterates its view that the Secretary-General should report regularly to the General Assembly on actions to address the findings of the Ombudsman on systemic issues (see A/63/545, para. 40)**.

11. As at 1 July 2009, the Office of the United Nations Ombudsman and Mediation Services has been providing mediation services in support of the formal system for the administration of justice. The Mediation Division is in the process of developing its own guidelines and standard operating procedures following consultation with key stakeholders in the system for the administration of justice. As indicated in paragraph 13 of the report of the Secretary-General, the integrated Office is establishing a roster of mediators to provide dispute resolution services as

may be required. The roster will eventually be extended to serve the regional branch offices. **The Advisory Committee believes that mediation is an important role for the Office. The Committee notes these initiatives and stresses the importance of expeditious development of the above-mentioned guidelines so that the Office can become fully operational as soon as possible.**

IV. Other matters

12. The Advisory Committee recalls that the General Assembly decided to compensate the judges of the United Nations Appeals Tribunal on a per-case basis (see resolution 63/253). No provision was made for other entitlements related to travel and subsistence. **The Advisory Committee recommends that, at an appropriate time, the General Assembly may wish to look into this matter.**

Annex

Office of the United Nations Ombudsman and Mediation Services: number of cases opened during 2008 and number of cases closed as at 1 October 2009

The integrated Office of the United Nations Ombudsman and Mediation Services opened 1,325 cases in 2008. Of this total, 1,205 cases are closed as at 1 October 2009. The breakdown of cases is as follows:

Office of the Ombudsman and Mediation Services	*Number of cases opened in 2008*	*Number of cases closed as at 1 October 2009*
Secretariat	787	714
Funds and programmes	379	340
UNHCR	159	151
Total	**1 325**	**1 205**

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Sixty-fourth session
Agenda item 163
Financing of the activities arising from Security Council resolution 1863 (2009)

Financing of support of the African Union Mission in Somalia for the period from 1 July 2009 to 30 June 2010

Report of the Advisory Committee on Administrative and Budgetary Questions

Commitment authority for 2008/09	$75,641,900
Expenditure for 2008/09	$72,042,800
Unencumbered balance for 2008/09	$3,599,100
Proposal submitted by the Secretary-General for 2009/10[a]	$224,380,000
Projected expenditure from 1 July to 31 December 2009[b]	$113,873,700
Recommendation of the Advisory Committee for 2009/10	$214,580,000

[a] Inclusive of the commitment authority for the period from 1 July to 31 December 2009 in the amount of $138,802,500 (see General Assembly resolution 63/275 B).

[b] Estimate as at 30 September 2009 (see annex I).

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I. Introduction

1. The Advisory Committee on Administrative and Budgetary Questions has considered the report of the Secretary-General on the financing of support of the African Union Mission in Somalia (AMISOM) for the period from 1 July 2009 to 30 June 2010 (A/64/465). During its consideration of the report, the Advisory Committee met with the Controller of the United Nations and representatives of the Secretary-General, who provided additional information and clarification.

2. **The recommendations of the Advisory Committee in paragraphs 26 and 55 below would entail a reduction of $9.8 million in the proposed budget for the financing of support of AMISOM for the period from 1 July 2009 to 30 June 2010.**

3. The documents reviewed and used for background by the Advisory Committee in its consideration of the financing of support of AMISOM are listed at the end of the present report.

II. Expenditure for the period from 1 July 2008 to 30 June 2009

4. By its resolution 1863 (2009) of 16 January 2009, the Security Council expressed its intent to establish a United Nations peacekeeping operation in Somalia as a follow-on force to AMISOM, subject to a further decision of the Council by 1 June 2009. The Council also requested in the same resolution that the Secretary-General provide a United Nations logistical support package to AMISOM. By its resolution 1872 (2009), the Council requested the Secretary-General to continue to provide the support package while also continuing to provide technical and expert advice to the African Union on its deployment and operations. Regarding the deployment of a peacekeeping operation, the Council requested the Secretary-General to implement the three-phase incremental approach (see S/2009/210 and paras. 17 and 18 below).

5. Pursuant to section IV, paragraph 2, of General Assembly resolution 49/233 A, commitment authority, with assessment, for the period from 1 July 2008 to 30 June 2009 in the amount of $75,641,900 gross was granted. This total is inclusive of the commitment authority in the amount of $43,856,300 gross granted on 10 March 2009 by the Advisory Committee for the provision of logistics support to AMISOM and the balance of $3,994,700 gross from the commitment authority granted on 26 June 2008 and 20 October 2008 for the period from 1 July 2008 to 30 June 2009.

6. Expenditure for the period from 1 July 2008 to 30 June 2009 totalled $72,042,800 gross ($71,523,700 net), with a resulting unencumbered balance of $3,599,100 gross. An analysis of expenditure is provided in section IV of the proposed budget (A/64/465).

III. Expenditure for the period from 1 July to 31 December 2009

7. The Advisory Committee notes that the overlapping time periods between the proposed budget for the period 2009/10 and the current period are a result of General Assembly resolution 63/275 B, in which the Secretary-General was authorized to enter into commitments for the support of AMISOM for the period

from 1 July to 31 December 2009 in a total amount not exceeding $138,802,500. This amount is included in the overall proposed resource requirements for the period from 1 July 2009 to 30 June 2010.

8. The Advisory Committee was provided with information on current and projected expenditure (see annex I) showing that expenditure for the period from 1 July to 31 December 2009 is projected at $113,873,700, leaving an unencumbered balance of $24,928,800. The Committee comments on matters related to the current period under section IV below, where relevant.

9. The Advisory Committee was informed that as at 30 September 2009, a total of $218,006,000 had been assessed on Member States in respect of support to AMISOM since its inception. Payments received as at the same date amounted to $66,870,000, leaving an outstanding balance of $151,136,000. As at 25 September 2009, the cash position was $59,000,000.

10. The Advisory Committee was informed that as at 30 September 2009, the staffing for the support provided to AMISOM for the period from 1 July to 31 December 2009 was as follows:

	Authorized[a]	*Encumbered*	*Vacancy rate percentage*
Total			
International staff	148	60	60
National staff	115	13	89
United Nations Support Office for AMISOM			
International staff	118	35	70.3
National staff	111	9	91.9
United Nations planning team			
International staff	14	12	14.3
National staff	4	4	—
Somalia coordination and planning team			
International staff	11	10	9.1
National staff	—	—	Not applicable
United Nations Headquarters support of the United Nations Support Office for AMISOM			
International staff	5	3	40
National staff	—	—	Not applicable

[a] All are temporary positions funded from resources provided under commitment authority for the period from 1 July to 31 December 2009 in an amount not exceeding $138,802,500 (General Assembly resolution 63/275 B).

In this connection, the Committee was provided, upon enquiry, with the projected recruitment schedules for personnel for the United Nations Support Office for AMISOM (UNSOA) and the planning teams (see also para. 28 below).

IV. Proposed budget for the period from 1 July 2009 to 30 June 2010

A. Mandate and planned results

11. By its resolution 1872 (2009) of 26 May 2009, the Security Council authorized the Member States of the African Union to maintain AMISOM until 31 January 2010 to carry out its existing mandate, as set out in Council resolution 1772 (2007). In addition, the Council requested the Secretary-General to implement an incremental approach to the deployment of a United Nations peacekeeping operation in Somalia (see S/2009/210, paras. 82-86) whereby the United Nations would continue to provide support to AMISOM and to building the capacity of the Somali institutions while continuing to plan for the deployment of a United Nations peacekeeping operation. In the same resolution, the Council requested the Secretary-General to continue to provide a logistical support package for AMISOM until 31 January 2010 and to continue to provide technical and expert advice to the African Union in the planning and deployment of AMISOM through the existing United Nations planning team in Addis Ababa.

12. The mandated tasks reflected in the Secretary-General's budget proposal include securing key infrastructure in Mogadishu, protecting those involved in the peace process, enabling the delivery of critical humanitarian assistance and assisting Somalia in building its own security sector. The Secretary-General indicates in his budget proposal that support and planning personnel are required to provide support to AMISOM; logistical support would be provided by UNSOA in Nairobi, along with a support and transit base in Mombasa, Kenya. Technical and expert advice would continue to be provided by teams in Addis Ababa and New York.

Security situation

13. The Secretary-General addresses the overall security situation in Somalia in his latest report to the Security Council, in which he points to the general vulnerability on the ground, as demonstrated by the attack against AMISOM on 17 September 2009. The attack, which involved two vehicles carrying explosives, caused significant property damage and killed at least 21 people, including 17 AMISOM soldiers, among them the Deputy Force Commander; at least 40 people were injured (S/2009/503, para. 16).

14. The Advisory Committee was informed that all UNSOA staff visits to Somalia had ceased since the attack. Consequently, the following issues need to be addressed: (a) ensuring that construction work is in compliance with United Nations minimum operating security standards; (b) ensuring accountability for United Nations-provided equipment and support; (c) effectively monitoring contractors' access to AMISOM sites, including construction sites; and (d) ensuring the effective and efficient remote management of contracts with regard to quality assurance and the verification of services.

15. **The Advisory Committee is of the view that the above-noted procedures should have been put in place in all field operations where the security situation is precarious. The Committee is cognizant that the overall security situation, on land and at sea, will continue to have an impact on the overall progress of the**

operation in support of AMISOM. The Committee nevertheless expects that future budget submissions will draw from further experience gained.

Somalia support structures

16. The Advisory Committee was provided, upon enquiry, with a summary of the United Nations system support structure for Somalia (see annex II). The Department of Political Affairs is charged with the overall leading role, and it provides support to the United Nations Political Office for Somalia (UNPOS), whose mandate is to advance the political process and coordinate the activities of the United Nations in the field. The Department and the Special Representative of the Secretary-General for Somalia and Head of UNPOS also coordinate United Nations efforts to combat piracy off the coast of Somalia through the sub-working group on piracy chaired by the Department (see also paras. 56-58 below). The Department of Safety and Security is responsible for assessing the security risks associated with a United Nations civilian presence in Somalia and works closely with UNPOS, UNSOA and the United Nations country team. The Department of Peacekeeping Operations updates and reviews contingency planning for the transition of AMISOM to a possible future United Nations peacekeeping operation.

17. The Advisory Committee notes that the Secretary-General, in his latest report on Somalia submitted to the Security Council pursuant to resolution 1872 (2009), provides an update on the implementation of the three-phase incremental approach (S/2009/503, paras. 27-30). Track one, the political track, is led by UNPOS, with the support of the Department of Political Affairs, and includes promoting the consolidation of the peace process, supporting the development of the Transitional Federal Government and, with the Office of the United Nations High Commissioner for Human Rights, promoting respect for human rights and accountability. Track two, the security track, consists of providing support to AMISOM and to the Somali security institutions while continuing to plan for the eventual deployment of a United Nations peacekeeping operation. Track three, the recovery track, is directed by the United Nations country team, which is coordinated by the United Nations Resident and Humanitarian Coordinator, in close cooperation with UNPOS and UNSOA, on activities relevant to the support of the peace process. Support for operational and substantive humanitarian issues is provided by the Office for the Coordination of Humanitarian Affairs.

18. The Advisory Committee was informed that coordination and information-sharing on ongoing activities across the three strategic tracks takes place through the integrated task force chaired by the Department of Political Affairs, which meets monthly and includes all relevant departments and agencies as well as UNPOS, UNSOA and the United Nations country team. Coordination is further strengthened through frequent informal contact among the Departments of Political Affairs, Field Support, Peacekeeping Operations and Safety and Security. The integrated mission planning process brings together relevant United Nations departments and agencies and the field offices on the ground and is led by the Department of Peacekeeping Operations. The integrated mission planning process also coordinates with the integrated task force of the Department of Political Affairs with regard to the operational planning of the envisaged United Nations peacekeeping operation. The integrated mission planning process and the integrated task force also provide quarterly assessments of the three stages of the incremental approach, as well as on shifting from one phase to another or the development of alternative strategies.

19. **The Advisory Committee notes the actions taken so far and encourages further coordination among all the United Nations entities in Somalia. The Committee comments further on matters relating to the organizational structure in paragraphs 59 to 62 below.**

Budget presentation

20. The proposed staffing requirements amount to $22,233,100, while operational requirements amount to $159,143,400. **The Advisory Committee is of the view that operational requirements in the proposed budget should have included additional explanation and background information. The Committee also believes that information should have been provided on the overall operational approach and management of activities, including plans for future eventualities. The Committee expects that any future budget proposal will provide sufficient information with regard to all categories of requirements, including information on the management plan for their implementation.**

B. Resource requirements

21. The proposed budget for the period 2009/10 for the financing of support to AMISOM amounts to $224,380,000, including the requirements previously approved through the commitment authority for the period from 1 July to 31 December 2009 in the amount of $138,802,500. An analysis of the resource requirements is provided in the budget proposal (see A/64/465, sect. II.A). Since it is the first budget presented in connection with the financing of support to AMISOM, it reflects justification from a zero base.

1. Military and police personnel

Category	*Proposed 2009/10*	*Actual (as at 10 Sept. 2009)*[b]
AMISOM military contingent personnel[a]	8 000	5 217
AMISOM police[a]	270	—[c]

[a] Represents the highest authorized strength for the period. The Security Council, in its resolution 1872 (2009), requested the Secretary-General to provide a logistical support package for AMISOM troops and AMISOM police.

[b] See S/2009/503, paras. 42 and 43.

[c] Deployment has been delayed owing to security considerations (see S/2009/503, para. 43).

22. The proposed budget for support for AMISOM military contingent personnel and AMISOM police personnel for the period from 1 July 2009 to 30 June 2010 amounts to $43,003,500 (see annex III).

23. The Advisory Committee was informed that the deployment of AMISOM military contingent personnel in 2009 would proceed as follows: 4,250 AMISOM military personnel as at 31 July; 5,100 as at 31 August; 7,650 (or 6,800 with a 33 per cent delayed deployment rate) as at 30 November; and the full proposed strength of 8,000 (or 7,150) as at 31 December. As at 10 September, troop strength stood at a total of 5,217, or 65 per cent of the mandated strength, composed of three battalions each from Burundi and Uganda (S/2009/503, para. 42).

24. The deployment of AMISOM police personnel in 2009 and 2010 would proceed as follows: 55 police personnel (or 39 with a 30 per cent delayed deployment rate) as at 30 September 2009; 76 (or 53) as at 31 October; 101 (or 71) as at 30 November; 141 (or 99) as at 31 December; 215 (or 151) as at 31 January 2010; and 270 (or 189) as at 28 February. The Secretary-General indicates that the African Union is currently engaged in predeployment training in Nairobi and that a total of 615 police trainers, advisers and mentors from Ghana, Nigeria, Sierra Leone and Uganda had been provisionally selected and placed on standby. However, owing to the security situation in Mogadishu, the deployment has been delayed (ibid., para. 43).

25. The estimate of $43,003,500 for support for AMISOM military contingents reflects requirements in the amount of $4,605,200 for the emplacement of three additional battalions by 31 December 2009 and the subsequent rotation of the five deployed battalions. An amount of $22,081,400 is proposed for the provision of bottled water and food rations, including locally procured fresh fruits and vegetables, at $6.21 per person per day for the first six months and $7.11 per person per day thereafter.

26. Further, an amount of $15,851,200 is proposed for the movement of contingent-owned equipment to equip battalions already in Somalia in order to bring them in line with United Nations standards. Upon enquiry, the Advisory Committee was informed that the estimated costs for freight and deployment of contingent-owned equipment was calculated on the basis of current AMISOM troop strength planning, the estimated volume of contingent-owned equipment already in the Mission area and the estimated cost of moving additional contingent-owned equipment by sea and air. The Committee was informed that the most current planning showed that the five battalions deployed before July 2009 had received 90 per cent of the planned contingent-owned equipment, whereas the proposed budget assumed 67 per cent of planned requirements. **Given the information that it is now estimated that costs could be reduced by $2.5 million based on the latest expectations of contingent-owned equipment to be deployed during 2009/10, the Committee recommends a reduction for this amount under freight and deployment of contingent-owned equipment for military contingents.**

27. The provision of $465,700 for support for AMISOM police reflects estimates in the amount of $451,300 for emplacement travel to support the phased deployment of 270 AMISOM police personnel to 30 June 2010. Additional estimates in the amount of $14,400 reflect reserve rations and bottled water.

2. Civilian personnel

Proposed budget for 2009/10		*General temporary assistance positions under commitment authority*[d]		
Category[a]	*Number*	*Authorized*	*Encumbered*	*Vacancy rate (percentage)*
International staff	142	148	60	60
National staff[b]	98	115	13	89
General temporary assistance[c]	40	—	—	—
Total	**280**	**263**	**73**	—

[a] Represents the highest level of authorized strength.

[b] Includes 16 National Professional Officers and 82 national General Service staff.

[c] Includes 5 temporary positions in the recruitment cell, 4 temporary positions for resident auditors, 2 temporary positions for the Mombasa support project and 29 temporary positions to provide planning capacity to the African Union.

[d] Temporary positions funded from resources provided under the commitment authority for the period from 1 July to 31 December 2009 in an amount not exceeding $138,802,500 (resolution 63/275 B).

[e] As at 30 September 2009.

28. The proposed budget for civilian personnel for the period from 1 July 2009 to 30 June 2010 amounts to $22,233,100 (see annex III). It provides for the planned deployment of 142 international staff, 98 national staff and 40 temporary positions (34 international staff and 6 national staff) in support of 8,000 AMISOM troops and 270 AMISOM civilian police officers. A vacancy factor of 30 per cent has been applied to cost estimates for international staff and 20 per cent for national staff. For international general temporary assistance staff, a 10 per cent vacancy factor was applied with regard to UNSOA and 30 per cent with regard to the planning teams; for national general temporary assistance staff, a 10 per cent vacancy rate was applied with regard to UNSOA and 20 per cent with regard to the planning teams.

29. The requirements are related mainly to:

(a) International staff ($12,479,900) in connection with the phased deployment of 142 proposed staff in Nairobi and Mombasa, with anticipated full deployment by June 2010;

(b) National staff ($2,636,100) in connection with the phased deployment of 98 proposed staff in Nairobi and Mombasa, with anticipated full deployment by June 2010;

(c) General temporary assistance ($7,117,100), inclusive of hazardous duty station allowance, for international staff in connection with the deployment of 34 international staff at New York, Nairobi, Mombasa and Addis Ababa as well as in connection with the deployment of 6 national staff.

30. The Advisory Committee notes the high vacancy rates of positions financed under the commitment authority for the period from 1 July to 31 December 2009 (see table in para. 10 above). The Committee was informed, however, that it was planned that the proposed posts and positions would be filled by 30 June 2010, taking into consideration the above-noted vacancy factors.

31. **Considering the fluid situation on the ground, the Advisory Committee points out that it appears that the actual percentages of vacancies may in fact exceed the budgeted vacancy factors. The Committee recognizes that developments on the ground will determine the pace at which progress is made and recommends that the budgetary implications of the applied vacancy factors be kept under review to reflect realistic expected staffing requirements. The Committee recommends that the basis for the most recent vacancy assumptions be provided directly to the General Assembly.**

Recommendations on posts

32. A summary of the proposed changes in staffing for the period 2009/10 compared to 2008/09 financed under the commitment authority is presented in annex IV, while a listing of the proposed 240 posts and 40 temporary positions for 2009/10 is presented in annex V.

33. The Advisory Committee notes from the proposed budget that there are three support structures for the provision of technical and expert advice. The United Nations planning team in Addis Ababa provides advice and assistance to the African Union Strategic Planning and Management Unit of the Peace Support Operations Division of the African Union Commission in the overall planning of AMISOM. Staffing requirements for the team in Addis Ababa have been modified, and the overall number of dedicated planners has been reduced from 19 to 14 Professional officers and 5 national staff. The Somalia coordination and planning team in New York provides support to UNSOA, UNPOS, the United Nations country team and the African Union in the planning and management of AMISOM. Staffing requirements for the Somalia coordination and planning team are proposed to be reduced from 16 to 5 posts by the end of 2009, since many of its specialist planning tasks have been completed. The United Nations Headquarters support team in New York assists UNSOA with strategic planning and implementation of all aspects of its mandate, for which five general temporary positions are proposed.

34. The Advisory Committee was provided, upon enquiry, with further details on the Somalia coordination and planning team. The team is currently composed of 11 staff: 1 D-1 Principal Officer; 2 Political Affairs Officers (P-4 and P-3); 2 Police Planning Officers (P-4 and P-3); 1 P-4 Military Operational Officer; 2 Military Maritime Officers (P-4 and P-3); and 2 P-4 Planning Officers (1 under recruitment); all supported by 1 Administrative Assistant (General Service). It is intended to conclude the initial police planning by 31 October and initial military plans by 31 December 2009. In this connection, the team would be streamlined down to a total of five personnel by 1 January 2010, consisting of one Principal Officer (D-1), two Political Affairs Officers (P-4 and P-3), one Military Maritime Officer (Anti-Piracy; P-3), and one Administrative Assistant (General Service). Further, the United Nations planning team in Addis Ababa is currently headed by a P-5 team leader and comprises 13 United Nations planners providing technical assistance in the following areas: military operations and force generation, medical, aviation, police, security, disarmament, demobilization and reintegration, public information, procurement, human resources, budget, contingent-owned equipment and communications and information technology. The team is supported by five national General Service staff.

35. **The Advisory Committee recommends approval of the staffing proposals of the Secretary-General and expects that the deployment of civilian staff will correspond to the actual deployment of military and civilian personnel.**

Other comments

36. The Advisory Committee notes the intention to reduce the planning staff from 23 to 19 by the end of 2009. The Committee provides further comments with regard to the organizational structure of the operation in paragraphs 59 to 62 below. **The Committee is concerned about the proliferation of structures for the support of AMISOM. While separate units at Headquarters, funded by the budget of the operation, could be justified during a start-up phase, clarification should be provided as to when these functions would be absorbed into the Department of Field Support.**

37. The Advisory Committee notes the intention of the Secretary-General that the planning capacity based in Addis Ababa will come within the direct operation of the African Union-United Nations Joint Support and Coordination Mechanism. **Should the Secretary-General envisage a broader role for the Coordination Mechanism, which was approved as part of the African Union-United Nations Hybrid Operation in Darfur to improve the effectiveness of United Nations support to the African Union in the peacekeeping field, the Committee recommends that the Secretary-General submit a proposal in that regard.**

38. The Advisory Committee notes that national staff hired for the support of AMISOM currently comprise nationals from countries presently hosting the UNSOA offices. **Since it is intended that the operation could in the future relocate to Somalia, the Committee recommends a prudent approach to the hiring of national staff at the present locations. The Committee also recommends that a staffing plan be developed to ensure a smooth transition should the Security Council decide to establish a peacekeeping mission in Somalia.**

3. Operational costs

(United States dollars)

Proposed 2009/10	*Expenditure 2008/09*[a]
159 143 400	64 440 000

[a] In connection with the commitment authority granted for the period from 1 July 2008 to 30 June 2009 (see para. 5 above).

39. The estimated operational requirements for the period from 1 July 2009 to 30 June 2010 amount to $159,143,400 (see annex III) and are attributable mainly to:

(a) Consultants for the construction of camps in support of AMISOM in Mogadishu, information support consultants in Mogadishu to provide support regarding the AMISOM information strategy and security consultants in Nairobi for UNSOA staff security awareness;

(b) Official travel to United Nations Headquarters in New York and African Union headquarters in Addis Ababa; travel to attend stakeholder and donor

conferences, for example in Rome and Brussels; travel to troop-contributing countries; and travel of staff members on temporary duty assignment;

(c) Facilities and infrastructure for the two-year programme to construct facilities in compliance with the minimum operating security standards for military contingents in nine locations in Mogadishu, one force headquarters and level II hospital, facilities at the airport and seaport and a support base and transit camp in Mombasa; the Advisory Committee was informed that, given the difficult operating conditions on the ground, a delayed implementation factor of 30 per cent has been incorporated into the resource requirements for the construction services programme;

(d) Ground transportation for the acquisition of vehicles and of material-handling and engineering equipment in support of AMISOM forces in Mogadishu, especially for operations to be conducted at the seaport and airport; vehicle liability insurance based on the United Nations worldwide third-party liability policy; petrol, oil and lubricants for United Nations-owned equipment used in Nairobi, Mombasa and Mogadishu; and contingent-owned equipment and vehicles used by AMISOM forces in Mogadishu;

(e) Air transportation for airfield services in Nairobi and Mombasa, including ground operations, cargo, passenger and fleet maintenance services, and 1.3 million litres of fuel at an average cost of $0.72 per litre;

(f) Naval transportation for the acquisition of six patrol boats for the escort of ships and the protection of both the seaport and over-water flight approaches to Mogadishu airport; the rental of one multifunctional vessel with cargo and passenger capacity; related liability insurance; and petrol, oil and lubricants;

(g) Communications for the acquisition of satellite and other communications equipment, commercial communications activities and the acquisition of radio production and broadcast equipment to support AMISOM public information activities;

(h) Information technology for equipment, including servers, desktop and laptop computers and printers; contracted services to supplement support in the UNSOA area of operations; and information technology support to AMISOM in Mogadishu, provided through the United Nations Office of Project Services owing to the prevailing security situation;

(i) Medical equipment and medical evacuation services for United Nations international personnel and AMISOM personnel for treatment in level III/IV medical facilities in Nairobi, Dubai and Pretoria;

(j) Other supplies, services and equipment for explosive ordnance disposal training for AMISOM troops and demining activities in support of AMISOM in Mogadishu; external audit services; capacity-building training for AMISOM in Entebbe, Uganda and Mombasa for the operation of United Nations-owned equipment in Mogadishu; services provided by independent contractors in lieu of United Nations personnel owing to security considerations; the verification of United Nations-owned and contingent-owned equipment in Mogadishu; and requirements in connection with core and common services provided by the United Nations Office at Nairobi.

Official travel

40. Estimated requirements for official travel for the period 2009/10 total $2,337,100, comprising an amount of $2,015,600 for non-training-related official travel and $321,500 for training-related travel. **The Advisory Committee recognizes the need for frequent official travel as the operation is being set up, but expects that the requirements for travel will be monitored and further reviewed as the operation stabilizes.**

Facilities and infrastructure

41. Estimated requirements for facilities and infrastructure for the period 2009/10 total $80,787,000, including an amount of $714,600 for the rental of premises for the establishment of a support base in Mombasa for air and sea deliveries to Mogadishu and for the support of troops and staff in transit. The Advisory Committee was informed that, for security reasons, the construction of the Mombasa support base has been delayed and that a temporary facility has now been established on leased commercial premises.

42. Upon enquiry, the Advisory Committee was informed that the possibility of building commercial facilities instead of constructing a United Nations facility is now under consideration. **The Committee recommends that the requirements for the Mombasa support base be kept under review and expects an update in the context of any future budget proposal.**

43. The completion of the force headquarters and level II hospital is planned in 2009/10. The Advisory Committee was informed that, while the construction of the hospital had been planned for 2008/09, it had not commenced since the technically compliant bid failed at the cost-evaluation stage of the procurement process. Further, medical services were now being provided using temporary tent facilities. **The Committee emphasizes the need to expeditiously construct the hospital to ensure adequate medical care and the installation of necessary equipment.**

Air transportation

44. Estimated requirements for air transportation for the period 2009/10 total $4,464,200, including an amount of $2,714,100 for the rental and operation of one combined passenger/cargo aircraft for transportation from Mombasa and Nairobi to Mogadishu. For the period 2008/09, expenditures for air transportation amounted to $580,000 for the rental of one fixed-wing aircraft. As at 30 September 2009, estimated requirements under the commitment authority for the period from 1 July to 31 December 2009 amounted to $7,281,100, of which an amount of $30,300, or 0.4 per cent, had been utilized.

Ground transportation

45. Estimated requirements for ground transportation for 2009/10 total $16,574,200, including an amount of $10,446,800 for the acquisition of vehicles for use in Mombasa and Nairobi. The estimate provides for the operation and maintenance of 213 United Nations-owned vehicles, comprising 102 light and armoured vehicles and 111 working vehicles and equipment. For 2008/09, an amount of $12,846,400 was expended for vehicles and equipment from the strategic deployment stocks at the United Nations Logistics Base at Brindisi, Italy (UNLB)

and for newly acquired vehicles using United Nations systems contracts. In this connection, the Advisory Committee recalls that vehicles with an inventory value of $3.6 million and a residual value of $0.7 million were previously donated to AMISOM from the United Nations Mission in Ethiopia and Eritrea.

46. Upon enquiry, the Advisory Committee was informed that UNSOA, in the period 2008/09, had drawn equipment valued at approximately $21 million from the UNLB strategic deployment stocks. The equipment included prefabricated buildings, generators, refrigeration units, catering equipment, sanitation systems, medical equipment and armoured vehicles to be utilized by AMISOM to upgrade its infrastructure in Mogadishu, and by UNSOA to establish its support base in Mombasa. The strategic deployment stocks inventory was currently being assessed to determine further requirements.

47. **The Advisory Committee trusts that existing vehicle and equipment stocks available at UNLB should be utilized to the maximum extent possible. The Committee also encourages further efforts, where possible, to utilize vehicles and equipment no longer required in other field missions to reduce costs associated with procuring new materiel.**

Other supplies, services and equipment

48. Estimated requirements for other supplies, services and equipment for 2009/10 amount to $18,887,400, including $2,432,300 for, inter alia, the services of an independent contractor for the verification of United Nations-owned equipment and contingent-owned equipment, which currently cannot be conducted by United Nations personnel owing to the security situation.

49. With regard to the outsourcing of equipment inspection services, the Advisory Committee was informed, upon enquiry, that UNSOA had developed its core concept for operational activities in support of AMISOM in Mogadishu on the basis of the outsourcing of many activities to commercial contractors, including the inspection and physical verification of United Nations-owned equipment and contingent-owned equipment.

50. The Advisory Committee was informed that the process of physical inspection and verification of assets would be conducted by contractual personnel in Mogadishu; however, UNSOA personnel would ensure the integrity of the information in its inventory management system by entering, maintaining, updating and reconciling the property records outside of Somalia. All outsourced activities would also be monitored through an umbrella quality assurance programme conducted by a team of UNSOA and contracted quality assurance personnel. During 2009/10, other key services in Mogadishu were planned for outsourcing, including camp services; engineering, construction and maintenance services; inland transport; airport, ground and cargo-handling activities at ports of entry; and freight-forwarding and warehousing services. The Committee was also informed that procurement was in progress for many of those services. A contract for property inspection and verification was expected to be awarded in late 2009 and the mobilization of contractors for other outsourced activities was expected to start in early 2010.

51. **The Advisory Committee emphasizes that the work of independent contractors for services of a sensitive nature, such as the inspection of**

contingent-owned equipment and United Nations-owned equipment, requires close monitoring and supervision by United Nations personnel, including the requirement to ensure the segregation of duties to prevent fraudulent activity. The Committee emphasizes that the final accountability for the proper discharge of the work provided by independent contractors rests with the United Nations.

Extrabudgetary resources

52. Upon enquiry, the Advisory Committee was provided with details on the extrabudgetary resources of the trust fund in support of AMISOM, as follows:

Trust fund in support of AMISOM

Country	*Amount (United States dollars)*
Australia	383 400
Czech Republic	150 000
Japan	9 000 000
Malta	13 293
Republic of Korea	500 000
Turkey	500 000
United Kingdom of Great Britain and Northern Ireland	14 515 000
Total	**25 061 693**

53. The proposed budget for 2009/10 also contains an amount of $1,059,100 ($660,153 from Algeria and $398,988 from the United States of America) for voluntary contributions in kind in connection with logistical support for the emplacement and rotation of AMISOM troops.

54. **The Advisory Committee welcomes the voluntary contributions made in connection with the trust fund for the support of AMISOM and the contributions in kind from Algeria and from the United States of America.**

Recommendation on operational costs

55. Upon further enquiry, the Advisory Committee was informed that UNSOA had finalized two procurement activities that would permit a reduction in expected operational costs. First, a short-term contract for fuel in Mogadishu has now been priced at $1.65 per litre of diesel fuel, compared to the $2.00 per litre reflected in the proposed budget. The new price would lower fuel costs for AMISOM generator and ground transportation requirements by $2.3 million. Second, a preliminary solicitation for a third-party logistics contract for the movement of cargo (including rations) and passengers has shown that it may be more cost-effective for UNSOA to transport its cargo and passengers by contracting a vendor as opposed to utilizing its own chartered vessel. This change in the concept of operations could reduce the overall costs of movement by approximately $5 million. **Based on the information received, the Committee recommends a reduction in the amount of $7.3 million for operational costs under the relevant categories.**

V. Other comments and observations

Anti-piracy efforts

56. The Advisory Committee requested further clarification of the efforts of the United Nations and other stakeholders to combat piracy off the coast of Somalia. The Committee was informed that the Contact Group on Piracy off the Coast of Somalia has a current membership of 46 Member States, including Somalia, 7 intergovernmental organizations (the United Nations, the African Union, the European Union, the North Atlantic Treaty Organization, the International Maritime Organization (IMO), the League of Arab States, and the International Criminal Police Organization (INTERPOL)) and 2 observers (the Baltic and International Maritime Council and the International Association of Independent Tank Owners). The United Nations Secretariat presently provides ad hoc secretariat assistance to the Contact Group. Member States are conducting military anti-piracy operations off the coast of Somalia through various maritime coalitions. In this connection, "Operation Atalanta" has undertaken the responsibility of escorting UNSOA-contracted vessels delivering United Nations logistical support to AMISOM, as well as shipments of the World Food Programme (WFP) delivering humanitarian aid.

57. The United Nations has established a sub-working group of the Somalia Integrated Task Force, chaired by the Department of Political Affairs, to consolidate and coordinate United Nations efforts to combat piracy. Within the sub-working group on piracy, four thematic clusters were established to deal with legal issues; land-based issues; international initiatives related to organized crime; and sea-based initiatives and issues related to military and naval anti-piracy activities being conducted by stakeholders. The sub-working group on piracy is made up of representatives from the Department of Political Affairs, UNPOS, the Department of Peacekeeping Operations, the Department of Safety and Security, IMO, INTERPOL, the Office for the Coordination of Humanitarian Affairs, the Office of the United Nations High Commissioner for Human Rights, the Office of Legal Affairs, the Somalia Monitoring Group, the United Nations country team, the United Nations Development Programme, the United Nations Interregional Crime and Justice Research Institute, the United Nations Office on Drugs and Crime and WFP.

58. The Advisory Committee was informed that UNPOS provides technical advice on regional and, specifically, Somali, coastguard and naval capacity-building initiatives, as well as technical expertise to the Department of Field Support on matters related to the escort of UNSOA-contracted vessels. Further, the Secretary-General has appointed a military maritime focal point in the Department of Peacekeeping Operations and embedded it within the Somalia coordination and planning team to carry out anti-piracy activities.

Organizational structure

59. The Advisory Committee notes that operational activities in support of the United Nations engagement on Somalia are currently spread across six geographic locations (Addis Ababa, Entebbe, Mogadishu, Mombasa, Nairobi and New York) and across nine United Nations departments and entities (the Department of Political Affairs, the Department for Peacekeeping Operations, the Department of Field Support, the Department for Safety and Security, the Office for the

Coordination of Humanitarian Affairs, the United Nations planning team, UNSOA, UNPOS and the United Nations country team) (see annex II).

60. As indicated in paragraphs 27 to 29 of the proposed budget (A/64/465), as a result of a review of the administrative functions of UNPOS and UNSOA, the latter will assume all the core administrative and budgetary functions of both entities as from 1 January 2010. A number of administrative functions and services, including facilities management, medical services and host country relations, will be provided by the United Nations Office at Nairobi under a cost-sharing arrangement governed by a memorandum of understanding.

61. **The Advisory Committee notes the efforts being made to achieve efficiencies, such as the absorption of the administrative functions of UNPOS into UNSOA. However, the Committee points out that the overall operational structure has the appearance of an overly complex bureaucratic structure, which creates challenges in terms of coordination and accountability (see annex II). The Committee is also concerned that the involvement of so many United Nations departments and offices may make it difficult to see clearly how the overall accountability for the support for Somalia is organized.**

62. **The Advisory Committee encourages the Secretary-General to keep under review all activities in connection with the United Nations presence in Somalia, including the structure in support of AMISOM, and to explore opportunities for consolidation and streamlining with a view to achieving efficiencies and cost-effectiveness. In this connection, the Committee expects that lessons learned from the deployment of past field operations will be applied. The Committee requests that information in this connection be provided in the context of any future budget proposal.**

Logistics support and disaster preparedness

63. The Advisory Committee was informed that the UNLB information and communications technology facility manages and routes the data communications traffic of UNSOA from various locations in Mogadishu, Mombasa and Nairobi. UNLB manages the out-of-theatre disaster recovery and business continuity hosting and support for Galileo, Mercury and other enterprise and field applications. Further, UNSOA is establishing a support base in Entebbe to host the regional disaster recovery and business continuity facility for the field missions in eastern Africa and intends to provide equipment and staffing support on a part-time basis to the base. While UNSOA will not have any dedicated staff presence in Entebbe during 2009/10, the base will be utilized as needed for training and capacity-building of AMISOM troops and force-enabling units, mainly in communications and information technology.

64. **The Advisory Committee also sees merit in a nearby disaster recovery and business continuity base in support of AMISOM and looks forward to an update on progress made in connection with any future budget proposal.**

Internal oversight

65. The Advisory Committee notes the proposed establishment of four general temporary positions (1 P-5, 1 P-4, 1 P-3 and 1 national General Service) for the resident audit office of the Office of Internal Oversight Services. **The Committee**

supports the establishment of these positions and emphasizes the importance of regular audit reviews as a preventive risk-control measure. Nonetheless, the Committee recommends that the Secretary-General keep under review the need for these oversight positions and provide rejustification in this connection in any future budget.

VI. Conclusion

66. The actions to be taken by the General Assembly in connection with the financing of the support for AMISOM for the period from 1 July 2009 to 30 June 2010 are indicated in paragraph 147 of the proposed budget (A/64/465). **Subject to its recommendations and observations in paragraphs 26 and 55 above, the Advisory Committee recommends a reduction in the proposed budget of the Secretary-General in the amount of $9,800,000, comprising $2,500,000 under military and police personnel and $7,300,000 under operational costs. The Committee therefore recommends that the Assembly appropriate an amount of $214,580,000 for financing support of the African Union Mission in Somalia for the period from 1 July 2009 to 30 June 2010.**

Documentation

- Budget for the support of the African Union Mission in Somalia for the period from 1 July 2009 to 30 June 2010 (A/64/465)
- Report of the Secretary-General on the situation in Somalia (S/2009/373)
- Report of the Secretary-General on Somalia pursuant to Security Council resolution 1863 (2009) (S/2009/210)
- Report of the Secretary-General on support to African Union peacekeeping operations authorized by the United Nations (A/64/359-S/2009/470)
- Report of the Secretary-General on Somalia pursuant to Security Council resolution 1872 (2009) (S/2009/503)
- Report of the Advisory Committee on Administrative and Budgetary Questions on the financing of support of the African Union Mission in Somalia for the period from 1 July to 31 December 2009 (A/63/874)
- General Assembly resolutions 63/275 A and B on the financing of activities arising from Security Council resolution 1863 (2009)
- Security Council resolutions 1872 (2009), 1863 (2009) and 1772 (2007)

Annex I

Current and projected expenditures under the commitment authority provided in General Assembly resolution 63/275 B, 1 July to 31 December 2009

(Thousands of United States dollars, rounded)

	1 July to 30 September 2009			*Projected 1 October to 31 December*				
	Apportionment	*Expenditure*	*Unencumbered balance*	*Expenditure*	*Total expenditure including projected*	*Estimated unencumbered balance as at 31 December 2009*	*Variance (percentage)*	
	(1)	*(2)*	*(3)=(2)-(1)*	*(4)*	*(5)=(2)+(4)*	*(6)=(1)-(5)*	*(7)=(6)÷(1)*	*Reasons for variance*
Military and police personnel								
Military observers	—	—	—	—	—	—	—	
Military contingents	34 455.6	52.3	34 403.3	15 284.9	15 337.2	19 118.4	55.5	Reduced requirements due to: (a) emplacement of one battalion and rotation of two battalions supported through bilateral arrangements rather than the United Nations; (b) no movement of contingent-owned equipment envisaged before 31 December 2009
African Union police	216.5	—	216.5	168.8	168.8	47.7	22.0	Reduced requirements due to the delayed deployment of African Union police.
Formed police units	—	—	—	—	—	—	—	
Subtotal	**34 672.1**	**52.3**	**34 619.8**	**15 453.7**	**15 506.0**	**19 166.1**	**55.3**	
Civilian personnel								
International staff	—	—	—	—	—	—	—	
National staff	—	—	—	—	—	—	—	
United Nations Volunteers	—	—	—	—	—	—	—	
General temporary assistance	12 093.6	2 550.6	9 543.0	2 792.1	5 342.7	6 750.9	55.8	Reduced requirements due to delayed deployment of civilian personnel.
Subtotal	**12 093.6**	**2 550.6**	**9 543.0**	**2 792.1**	**5 342.7**	**6 750.9**	**55.8**	
Operational costs								
Government-provided personnel	—	—	—	—	—	—	—	

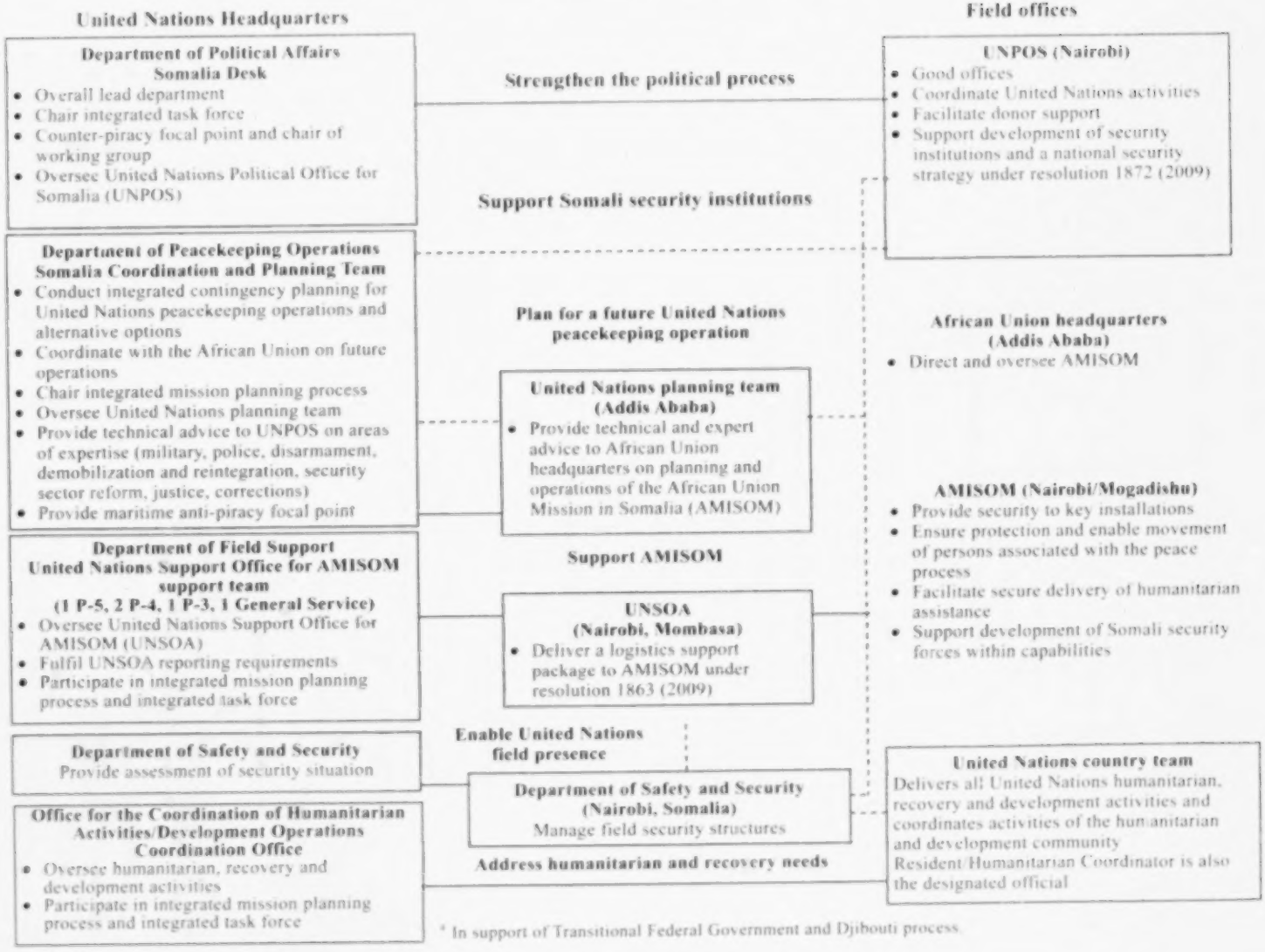
	1 July to 30 September 2009			Projected 1 October to 31 December				
	Apportionment	Expenditure	Unencumbered balance	Expenditure	Total expenditure including projected	Estimated unencumbered balance as at 31 December 2009	Variance (percentage)	
	(1)	(2)	(3)=(2)-(1)	(4)	(5)=(2)+(4)	(6)=(1)-(5)	(7)=(6)÷(1)	Reasons for variance
Civilian electoral observers	—	—	—	—	—	—	—	
Consultants	22.5	38.4	(15.9)	86.8	125.2	(102.7)	(456.5)	Increased requirements due to the hiring of information support management and engineering consultants up to 31 December 2009.
Official travel	555.8	459.8	96.0	575.3	1 035.1	(479.3)	(86.2)	Increase due to: (a) higher travel requirements between UNSOA headquarters in Nairobi and the Mombasa support base; (b) increased temporary duty assignment costs.
Facilities and infrastructure	55 021.0	1 699.8	53 321.2	51 629.5	53 329.3	1 691.7	3.1	Increase due to the change in the concept of operations for the construction of AMISOM camps, field headquarters and level II hospital; UNSOA will focus primarily during the first 6 months of the budget period to procure the necessary equipment and construction material through systems contracts and to establish contractual agreement for the work to be completed in theatre.
Ground transportation	4 699.4	74.3	4 625.1	8 503.8	8 578.0	(3 878.6)	(82.5)	Increase due to: (a) vehicles to support UNSOA and AMISOM in accordance with the revised budget requirements; (b) assessment of fuel consumption based on United Nations standard for 6 AMISOM battalions currently in theatre.
Air transportation	7 281.1	30.3	7 250.8	—	30.3	7 250.8	99.6	Reduced requirements due to the delay in the procurement of aircraft envisaged to be positioned in early 2010.

	1 July to 30 September 2009			Projected 1 October to 31 December				
	Apportionment	*Expenditure*	*Unencumbered balance*	*Expenditure*	*Total expenditure including projected*	*Estimated unencumbered balance as at 31 December 2009*	*Variance (percentage)*	
	(1)	*(2)*	*(3)=(2)-(1)*	*(4)*	*(5)=(2)+(4)*	*(6)=(1)-(5)*	*(7)=(6)÷(1)*	*Reasons for variance*
Naval transportation	3 150.0	—	3 150.0	2 200.5	2 200.5	949.5	30.1	Reduced requirements due to: (a) delays of the positioning of the multi-purpose vessel offset by (b) the procurement of 6 patrol boats in support of AMISOM.
Communications	6 456.4	891.3	5 565.1	8 529.5	9 420.8	(2 964.4)	(45.9)	Increase due to procurement of communication equipment in accordance with the revised budget requirements.
Information technology	3 977.6	663.2	3 314.4	5 736.8	6 400.0	(2 422.4)	(60.9)	Increase due to procurement of information technology equipment in accordance with the revised budget requirements.
Medical	643.4	82.9	560.5	1 434.8	1 517.6	(874.2)	(135.9)	Increase due to the hospitalization costs subsequent to the attack on AMISOM on 17 September 2009.
Special equipment	—	—	—	—	—	—	—	
Other supplies, services and equipment	10 229.6	5 248.2	4 981.4	5 140.0	10 388.2	(158.6)	(1.6)	Increase due to procurement of other equipment in accordance with the revised budget requirements.
Quick-impact projects	—	—	—	—	—	—	—	
Subtotal	**92 036.8**	**9 188.2**	**82 848.6**	**83 836.9**	**93 025.1**	**(988.3)**	**(1.1)**	
Gross requirements	**138 802.5**	**11 791.1**	**127 011.4**	**102 082.6**	**113 873.7**	**24 928.8**	**18.0**	
Staff assessment income	1 066.5	—	—	—	—	1 066.5	100.0	
Net requirements	**137 736.0**	**11 791.1**	**127 011.4**	**102 082.6**	**113 873.7**	**23 862.3**	**17.3**	
Voluntary contributions in kind (budgeted)	—	—	—	—	—	—	—	
Total requirements	**138 802.5**	**11 791.1**	**127 011.4**	**102 082.6**	**113 873.7**	**24 928.8**	**18.0**	

Annex II

Somalia support structures at United Nations Headquarters and link to United Nations strategic objectives[a]

Objectives and mandated activities for 2009/10


United Nations Headquarters
Field offices
Department of Political Affairs
Somalia Desk
• Overall lead department
• Chair integrated task force
• Counter-piracy focal point and chair of working group
• Oversee United Nations Political Office for Somalia (UNPOS)
Strengthen the political process
UNPOS (Nairobi)
• Good offices
• Coordinate United Nations activities
• Facilitate donor support
• Support development of security institutions and a national security strategy under resolution 1872 (2009)
Support Somali security institutions
Department of Peacekeeping Operations
Somalia Coordination and Planning Team
• Conduct integrated contingency planning for United Nations peacekeeping operations and alternative options
• Coordinate with the African Union on future operations
• Chair integrated mission planning process
• Oversee United Nations planning team
• Provide technical advice to UNPOS on areas of expertise (military, police, disarmament, demobilization and reintegration, security sector reform, justice, corrections)
• Provide maritime anti-piracy focal point
Plan for a future United Nations peacekeeping operation
African Union headquarters
(Addis Ababa)
• Direct and oversee AMISOM
United Nations planning team
(Addis Ababa)
• Provide technical and expert advice to African Union headquarters on planning and operations of the African Union Mission in Somalia (AMISOM)
Department of Field Support
United Nations Support Office for AMISOM support team
(1 P-5, 2 P-4, 1 P-3, 1 General Service)
• Oversee United Nations Support Office for AMISOM (UNSOA)
• Fulfil UNSOA reporting requirements
• Participate in integrated mission planning process and integrated task force
Support AMISOM
AMISOM (Nairobi/Mogadishu)
• Provide security to key installations
• Ensure protection and enable movement of persons associated with the peace process
• Facilitate secure delivery of humanitarian assistance
• Support development of Somali security forces within capabilities
UNSOA
(Nairobi, Mombasa)
• Deliver a logistics support package to AMISOM under resolution 1863 (2009)
Department of Safety and Security
Provide assessment of security situation
Enable United Nations field presence
Department of Safety and Security
(Nairobi, Somalia)
Manage field security structures
United Nations country team
Delivers all United Nations humanitarian, recovery and development activities and coordinates activities of the humanitarian and development community
Resident/Humanitarian Coordinator is also the designated official
Office for the Coordination of Humanitarian Activities/Development Operations Coordination Office
• Oversee humanitarian, recovery and development activities
• Participate in integrated mission planning process and integrated task force
Address humanitarian and recovery needs

[a] In support of Transitional Federal Government and Djibouti process.

Annex III

Detailed cost estimates, 1 July 2009 to 30 June 2010

(Thousands of United States dollars, rounded. Budget year is from 1 July 2009 to 30 June 2010.)

Category	*2009-10 budget*
I. Military and police personnel	
Military observers	—
Military contingents	
Standard troop cost reimbursement	—
Travel on emplacement, rotation and repatriation	4 605.2
Recreational leave allowance	—
Daily allowance	—
Mission subsistence allowance	—
Death and disability compensation	—
Rations	22 081.4
Contingent-owned equipment: major equipment	—
Freight and deployment of contingent-owned equipment	15 851.2
Subtotal	**42 537.8**
African Union police	
Mission subsistence allowance	—
Travel on emplacement, rotation and repatriation	451.3
Clothing allowance	—
Death and disability compensation	—
Rations	14.4
Subtotal	**465.7**
Formed police units	—
Total, I	**43 003.5**
II. Civilian personnel	
International staff	
International staff salaries	5 131.7
Staff assessment	1 317.1
Common staff costs	6 031.1
Hazardous duty station allowance	—
Mission subsistence allowance	—
Overtime	—
Other costs	—
Subtotal	**12 479.9**
National staff	
National staff salaries	1 419.0
Staff assessment	378.6

Category	*2009/10 budget*
Common staff costs	838.5
Hazardous duty station allowance	—
Overtime	—
Other costs	—
Subtotal	**2 636.1**
United Nations Volunteers	—
General temporary assistance	
International staff	
Salaries	3 723.4
Common staff costs	2 530.9
Staff assessment	634.0
Hazardous duty station allowance	15.5
Mission subsistence allowance	—
Subtotal	**6 903.8**
National staff	
Salaries	116.1
Common staff costs	68.2
Staff assessment	29.0
Hazardous duty station allowance	—
Subtotal	**213.3**
Other personnel	
Salaries	—
Subtotal	—
Total, general temporary assistance	**7 117.1**
Total, II	**22 233.1**
III. Operational costs	
Government-provided personnel	—
Civilian electoral observers	—
Consultants	
Non-training	392.8
Training	139.4
Subtotal	**532.2**
Official travel	
Non-training	2 015.6
Training	321.5
Subtotal	**2 337.1**

Category	*2009/10 budget*
Facilities and infrastructure	
Acquisition of prefabricated facilities	5 890.6
Acquisition of miscellaneous facilities and infrastructure	404.4
Acquisition of bridges for infrastructure	—
Acquisition of electrical equipment	2 305.8
Acquisition of refrigeration equipment	—
Acquisition of generators	5 258.0
Acquisition of water purification equipment	405.7
Acquisition of water and septic tank equipment	2 526.0
Acquisition of accommodation equipment	84.7
Acquisition of fuel tank and pumps	1 297.2
Acquisition of office furniture	140.5
Acquisition of office equipment	62.9
Acquisition of field defence equipment	—
Acquisition of security and safety equipment	1 771.8
Acquisition of firefighting equipment	3.3
Rental of premises	714.6
Rental of office equipment	14.5
Utilities	78.9
Maintenance services	336.3
Security services	438.3
Alteration and renovation services	1 246.0
Construction services	42 737.0
Stationery and office supplies	96.3
Spare parts and supplies	254.0
Maintenance supplies	25.5
Field defence supplies	6 813.1
Petrol, oil and lubricants	7 876.9
Sanitation and cleaning materials	4.7
Contingent-owned equipment: self-sustainment	—
Subtotal	**80 787.0**
Ground transportation	
Acquisition of vehicles	10 446.8
Acquisition of vehicle workshop equipment	—
Rental of vehicles	385.1
Repairs and maintenance	237.4
Liability insurance	51.3

Category	*2009/10 budget*
Spare parts	160.0
Petrol, oil and lubricants	5 293.6
Subtotal	**16 574.2**
Air transportation	
Equipment and supplies	—
Services	734.7
Landing fees and ground handling charges	150.9
Aircrew subsistence allowance	28.8
Petrol, oil and lubricants	832.2
Fixed wing aircraft	
Liability insurance	3.5
Rental and operation	2 714.1
Helicopters	
Liability insurance	—
Rental and operation	—
Subtotal	**4 464.2**
Naval transportation	
Acquisition of marine vessels	1 000.5
Rental and operation	8 400.0
Liability insurance	1.4
Spare parts, repairs, maintenance and supplies	65.3
Petrol, oil and lubricants	163.9
Subtotal	**9 631.1**
Communications	
Acquisition of communications equipment	6 743.7
Commercial communications	1 504.7
Maintenance of equipment	—
Communications support services	1 772.6
Rental of equipment	—
Spare parts	186.6
Contingent-owned equipment: self-sustainment	—
Public information	
Acquisition of public information equipment	165.3
Public information services	2 000.9
Rental of equipment	—
Supplies and maintenance	73.3
Subtotal	**12 447.1**

Category	*2009/10 budget*
Information technology	
Acquisition of equipment	7 907.9
Acquisition of software packages	—
Information technology services	1 392.6
Rental of equipment	6.0
Maintenance and repair of equipment	61.2
Licences, fees and rental of software	267.0
Spare parts and supplies	110.8
Subtotal	**9 745.5**
Medical	
Acquisition of equipment	271.4
Medical services	3 344.9
Rental of equipment	—
Supplies	121.3
Contingent-owned equipment: self-sustainment	—
Subtotal	**3 737.6**
Special equipment	
Acquisition of observation equipment	—
Mine-detection and mine-clearing equipment	—
Contingent-owned equipment: self-sustainment	—
Subtotal	**—**
Other supplies, services and equipment	
Acquisition of other equipment	1 768.9
Welfare	—
Mine-detection and mine-clearing services	10 219.3
Mine-detection and mine-clearing supplies	—
Subscriptions	15.6
Printing and reproduction	25.5
Operational maps	10.0
Uniforms, flags and decals	41.1
Personal protection gear	10.7
Training fees, supplies and services	52.7
Election materials	—
Official functions	—
Other hospitality	25.0
External audit	100.0
General insurance	—
Bank charges	220.0

Category	*2009/10 budget*
Claims, write-offs, adjustments	—
Other freight and related costs	3 962.0
Rations, other	4.3
Loss on exchange	—
Other services	2 432.3
Subtotal	**18 887.4**
Quick-impact projects	—
Total, III	**159 143.4**
Gross requirements	**224 380.0**
Staff assessment income	2 358.7
Net requirements	**222 021.3**
Voluntary contributions in kind (budgeted)[a]	1 059.1
Voluntary contributions in kind (non-budgeted)	—
Total, requirements	**225 439.1**

[a] Cost estimates for 2009/10 are inclusive of $660,153 from the Government of Algeria and $398,988 from the Government of the United States of America.

Annex IV

Summary of proposed changes in United Nations support of the African Union Mission in Somalia for the period 1 July 2009 to 30 June 2010[a]

United Nations Support Office for the African Union Mission in Somalia

Office/Section/Unit	*Number/level*	*Location*
Director of Mission Support		
Senior Adviser	+ 1 P-5	Nairobi
Driver	- 1 NGS	Nairobi
Administrative Assistant	- 1 FS	Nairobi
Liaison Office		
Senior Logistics Officer	- 1 P-5	Addis Ababa
Administrative Officer	- 1 P-4	Addis Ababa
Administrative Assistant	- 1 FS	Addis Ababa
Safety and Security Section		
Security Assistant	+ 1 NGS	Nairobi
Security Officer	+ 1 FS	Mombasa
Security Officer	+ 1 NPO	Mombasa
Security Duty Officer	+ 3 FS	Mombasa
Office Assistant	+ 1 NGS	Mombasa
Training Assistant	+ 1 FS	Mombasa
Support Operations Services		
Budget and Trust Fund Section		
Chief Budget Officer	+ 1 P-5	Nairobi
Budget Officer	- 1 FS	Nairobi
Budget Officer	- 1 P-3	Nairobi
Budget Officer	- 1 FS	Nairobi
Budget Assistant	+ 1 NGS	Nairobi
Budget Assistant	+ 2 FS	Nairobi
Property Management Section		
Property Management Assistant	+ 3 NGS	Mombasa
Property Management Assistant	- 4 FS	Mombasa
Receiving and Inspection Unit		
Receiving and Inspection Assistant	+ 1 NGS	Mombasa
Public Information Section/Information Operations Support Section		
Public Information Officer	- 1 P-3	Nairobi
Radio Producer	- 1 NPO	Nairobi
Administrative Assistant	+ 1 NGS	Nairobi

Office/Section/Unit	*Number/level*	*Location*
Support Office/Mombasa Support Base		
Logistics Officer	- 1 P-3	Mombasa
Administrative Officer	+ 1 P-4	Mombasa
Administrative Assistant	+ 1 NGS	Mombasa
Operations and Plans Section		
Military Officer	- 1 P-4	Nairobi
Administrative Assistant	- 1 FS	Nairobi
Logistics Assistant	+ 1 NGS	Nairobi
Administrative Services		
Finance Section		
Finance Officer (Chief Account)	- 1 P-3	Nairobi
Finance Officer (Cashier)	+ 1 FS	Nairobi
Finance Assistant	- 1 NGS	Nairobi
Finance Assistant	+ 2 FS	Nairobi
Finance Assistant	+ 1 FS	Mombasa
Finance Assistant	+ 1 NGS	Mombasa
Administrative Assistant	- 1 NGS	Nairobi
Human Resources Section		
Human Resources Officer	- 1 P-3	Nairobi
Human Resources Officer	+ 1 FS	Nairobi
Human Resources Assistant	+ 2 NGS	Nairobi
Human Resources Assistant	+ 1 FS	Mombasa
Human Resources Assistant	+ 1 NGS	Mombasa
Travel Assistant	- 1 FS	Nairobi
Travel Assistant	- 2 NGS	Nairobi
Human Resources Officer (Travel)	+ 1 NPO	Nairobi
Training Section		
Training Officer	+ 1 P-4	Nairobi
Associate Training Officer	+ 1 NPO	Nairobi
Training Assistant	+ 1 FS	Mombasa
Training Assistant	+ 1 NGS	Mombasa
Procurement Section		
Procurement Officer	+ 1 FS	Nairobi
Procurement Support Unit		
Procurement Assistant	- 1 FS	Mombasa
Contract Management Section		
Contract Management Officer	- 1 P-3	Nairobi
Contract Management Officer	- 1 FS	Entebbe
Contract Management Assistant	+ 1 FS	Mombasa

Office/Section/Unit	*Number/level*	*Location*
Integrated Support Services/Technical Services		
Office of the Chief Technical Services		
Logistics Officer	- 1 P-3	Nairobi
Aviation Section		
Air Operations Officer (Planning)	- 1 P-3	Nairobi
Air Operations Officer	+ 2 NPO	Nairobi
Office Assistant	- 1 NGS	Nairobi
Air Operations Assistant	- 2 FS	Nairobi
Air Operations Assistant	+ 1 NGS	Nairobi
Air Operations Assistant	+ 1 NGS	Mombasa
Air Operations Assistant	+ 1 FS	Mombasa
Air Operations Assistant	+ 1 NGS	Mombasa
Engineering Section		
Budget Assistant	+ 1 FS	Nairobi
Administrative Assistant	- 1 FS	Nairobi
Administrative Assistant	+ 1 NGS	Nairobi
Project Management Unit		
Associate Engineer	- 1 P-2	Mombasa
Engineer	+ 1 NPO	Mombasa
Engineering Technician	- 2 FS	Mombasa
Facilities Management Assistant	- 2 FS	Mombasa
Construction Services Unit		
Engineer	- 4 NPO	
Engineer	- 1 P-3	
Engineering Technician	- 2 NGS	
Creation Engineer Assistant	+ 4 FS	Mombasa
Assets and Materials Management Units		
Material and Assets Assistant	+ 2 NGS	Mombasa
Facilities and Management Unit		
Facilities and Management Officer	+ 1 FS	Nairobi
Facilities Assistant	+ 3 FS	Mombasa
Generator Mechanic	+ 1 FS	Mombasa
Generator Mechanic	+ 3 NGS	Mombasa
Building and Maintenance Assistant	+ 1 FS	Mombasa
Geographic Information Services Section		
Geographic Information Officer	+ 2 NPO	
Geographic Information Assistant	- 1 FS	
Medical Services Section		
Medical Officer (Environmental/Public Health)	+ 1 P-3	Nairobi
Medical Assistant	+ 1 FS	Nairobi

Office/Section/Unit	*Number/level*	*Location*
Medical Officer	+ 1 NPO	Nairobi
Pharmacist	+ 1 NPO	Mombasa
Nurse	+ 1 NPO	Mombasa
Administrative Assistant	- 1 FS	Nairobi
Administrative Assistant	+ 1 NGS	Nairobi
Movement Control Section		
Movement Control Officer	- 1 P-3	Nairobi
Movement Control Officer	+ 1 FS	Nairobi
Movement Control Assistant	- 2 FS	Nairobi
Movement Control Assistant	- 2 NGS	Entebbe
Administrative Assistant	- 1 FS	Nairobi
Movement Control Assistant	+ 1 NGS	Nairobi
Office Assistant	- 1 NGS	Nairobi
Administrative Assistant	+ 1 NGS	Nairobi
Movement Control Officer	+ 2 FS	Mombasa
Administrative Assistant	+ 1 NGS	Mombasa
Movement Control Officer	+ 3 NPO	Mombasa
Movement Control Assistant	+ 7 NGS	Mombasa
Supply Section		
Supply Officer	- 1 P-3	Mombasa
Fuel Officer	- 1 P-3	Nairobi
Associate Fuel Officer	+ 1 NPO	Mombasa
Transportation Section		
Administrative Assistant	+ 1 NGS	Nairobi
Transport Officer	- 1 P-3	Mombasa
Transport Officer	+ 1 FS	Mombasa
Transport Assistant	- 1 FS	Mombasa
Transport Assistant	+ 1 NGS	Mombasa
Transport Assistant	- 1 FS	Entebbe
Vehicle Mechanic	+ 2 FS	Mombasa
Driver	+ 5 NGS	Nairobi
Driver	+ 3 NGS	Mombasa
Driver	- 3 NGS	Entebbe
Communications and Information Technology Section (Nairobi)		
Chief Communications and Information Technology Officer	- 1 P-5	
Administrative Assistant	- 1 FS	
Coordination and Accounts Unit		
Communications and Information Technology Coordination Officer	- 1 P-4	
Telephone Billing Assistant	- 1 FS	
Administrative Assistant	- 1 NGS	

Office/Section/Unit	*Number/level*	*Location*
Communications Unit		
Chief Communications Officer	- 1 P-4	
Telecommunications Technician — Satellite Support/ Video Teleconferencing	- 1 FS	
Telecommunications Technician — PABX	- 1 NGS	
Information Technology		
Chief Information Technology Officer	- 1 P-4	
Information Technology Technician — Local Area Network	- 1 NGS	
Information Technology Technician — Wide Area Network	- 1 FS	
Information Technology Technician — Application Support	- 1 FS	
Information Technology Officer — Desktop Support	- 1 FS	
Information Technology Technician — Desktop Support	- 1 NGS	
Information Technology Technician — Lotus Administration	- 1 FS	
Addis Ababa		
Administrative Assistant	- 1 NGS	
Communications Unit		
Telecommunications Technician — Satellite Support/ Video Teleconferencing	- 1 FS	
Telecommunications Technician — PABX	- 1 NGS	
Information Technology Unit		
Information Technology Officer	- 1 P-3	
Information Technology Technician — Wide Area Network/ Local Area Network	- 1 FS	
Information Technology Technician — Lotus Administration	- 1 FS	
Entebbe		
Communications and Information Technology Officer	- 1 P-5	
Administrative Assistant	- 1 NGS	
Assets Management Unit		
Property Management Assistant	- 1 FS	
Communications Unit		
Technical Installation — Remote Support		
Telecommunications Engineer	- 1 P-4	
Telecommunications Technician — Satellite Support	- 1 NGS	
Telecommunications Technician — VHF/HF/Trunking Radio	- 1 FS	
Telecommunications Technician — PABX	- 1 NGS	
Telecommunications Technician — Mobile Data Terminals/Microwave	- 1 FS	
Information Technology Unit		
Technical Installation — Remote Support		
Information Technology Officer	- 1 P-3	
Information Technology Technician — Wide Area Network/ Local Area Network	- 1 FS	
Information Technology Technician — Lotus Administration	- 1 FS	

Office/Section/Unit	*Number/level*	*Location*
Communications and Information Technology Section		
Chief Communications and Information Technology Officer	+ 1 P-5	
Administrative Assistant	+ 1 NGS	
Coordination and Accounts Unit		
Communication and Information Technology Coordination Officer	+ 1 FS	
Telephone Billing Assistant (Nairobi)	+ 1 FS	
Communications Unit		
Telecommunications Officer	+ 1 P-4	
Satellite Technician (Video Teleconferencing)	+ 1 FS	
Telecommunications Technician — PABX	+ 1 NGS	
Information Technology Unit		
Chief Information Technology Officer	+ 1 P-4	
Information Technology Technician — Local Area Network	+ 1 NGS	
Information Technology Technician — Wide Area Network	+ 2 FS	
Help Desk		
Information Technology Technician — Application Support	+ 2 FS	
Information Technology Technician — Desktop Support	+ 1 FS	
Information Technology Technician — Desktop Support	+ 2 NGS	
Information Technology Technician — Lotus Administration	+ 1 FS	
Mombasa Communication and Information Technology Office		
Chief Communications and Information Technology Officer	+ 1 FS	
Administrative Assistant	+ 1 NGS	
Communications Unit		
Telecommunications Officer	+ 1 FS	
Satellite Technician (Video Teleconferencing)	+ 1 FS	
Telecommunications Technician — PABX	+ 1 NGS	
Information Technology		
Information Technology Officer	+ 1 P-3	
Information Technology Technician — Local Area Network	+ 1 NGS	
Information Technology Technician — Wide Area Network	+ 1 FS	
Help Desk		
Information Technology Technician — Application Support	+ 1 FS	
Information Technology Technician — Desktop Support	+ 1 FS	
Information Technology Technician — Desktop Support	+ 1 NGS	
Information Technology Technician — Lotus Administration	+ 1 FS	
Information Technology Technician — Lotus Administration	+ 1 NGS	
Assets Management Unit		
Material and Assets Assistant	+ 1 FS	
Warehouse Assistant	+ 1 FS	
Warehouse Assistant	+ 1 NGS	

Office/Section/Unit	*Number/level*	*Location*
Disaster Recovery and Business Continuity Unit		
Communications and Information Technology Technician	+ 2 FS	
Temporary assistance		
Mombasa Project Team		
Senior Project Officer	+ 1 P-5	Mombasa
Project Officer	+ 1 P-4	Mombasa
Resident Auditor		
Chief Resident Auditor	+ 1 P-5	Nairobi
Resident Auditor	+ 1 P-4	Nairobi
Resident Auditor	+ 1 P-3	Nairobi
Auditing Assistant	+ 1 NGS	Nairobi
Total		
International	- 2	
National	+ 43	
General temporary assistance	+ 6	

Abbreviations: NGS, National General Service; FS, Field Service; NPO, National Professional Officer.

[a] Posts represent changes from the 2008/09 commitment authority, however all posts in the 2008/09 commitment authority were under general temporary assistance and are now being requested as fixed term, unless otherwise specified.

Annex V

Proposed staffing, 2009/10 United Nations Support Office for the African Union Mission in Somalia

Office of the Director	*Number/level*	*Location*
Immediate Office of the Director, United Nations Support Office for AMISOM (UNSOA)		
Director UNSOA	1 D-2	Nairobi
Senior Adviser	1 P-5	Nairobi
Senior Administrative Officer	1 P-5	Nairobi
Political Affairs Officer	1 P-3	Nairobi
Legal Officer	1 P-4	Nairobi
Administrative Officer	1 P-3	Nairobi
Administrative Assistant	1 FS	Nairobi
Driver	1 NGS	Nairobi
Office Assistant	3 NGS	Nairobi
Safety and Security Section		
Chief Security Officer (Security Adviser)	1 P-4	Nairobi
Security Officer	1 FS	Nairobi
Security Assistant	1 NGS	Nairobi
Security Officer	1 FS; 1 NPO	Mombasa
Security Duty Officer	3 FS	Mombasa
Office Assistant	1 NGS	Mombasa
Training Assistant	1 FS	Mombasa
Subtotal	**21**	
Support Operations Service		
Office of the Deputy Director		
Deputy Director	1 D-1	Nairobi
Administrative Assistant	1 FS	Nairobi
Budget and Trust Fund Section		
Chief Budget Officer	1 P-5	Nairobi
Budget Officer	1 P-4; 1 NPO	Nairobi
Budget Assistant	2 FS; 1 NGS	Nairobi
Administrative Assistant	1 NGS	Nairobi

Office of the Director	*Number/level*	*Location*
Contingent-owned Equipment and Property Management Section		
Property Management Officer	1 P-4	Nairobi
Administrative Assistant	1 NGS	Nairobi
Property Control and Inventory Unit		
Property Management Officer	1 P-3; 1 FS	Mombasa
Property Management Assistant	3 NGS	Mombasa
Receiving and Inspection Unit		
Receiving and Inspection Officer	1 FS	Mombasa
Receiving and Inspection Assistant	1 FS; 2 NGS	Mombasa
Contingent-owned Equipment Unit		
Property Management Officer	1 P-3	Nairobi
Inspection Officer	1 FS	Mombasa
Inspection Assistant	1 FS	Mombasa
Property Disposal Unit		
Property Disposal Officer	1 P-3	Mombasa
Internal Review Boards		
Administrative Officer	1 P-3	Nairobi
Administrative Assistant	1 NGS	Nairobi
Claims Assistant	1 FS	Nairobi
Information Operations Support Section		
Senior Public Information Officer	1 P-5	Nairobi
Radio Producer	1 P-4	Nairobi
Administrative Officer	1 FS	Nairobi
Broadcast Technology Officer	1 FS	Nairobi
Administrative Assistant	1 NGS	Nairobi
Mombasa Support Base		
Senior Logistics Officer (Chief Mombasa Support Base)	1 P-5	Mombasa
Logistics Officer	1 P-4	Mombasa
Administrative Officer	1 P-4	Mombasa
Administrative Assistant	1 FS; 2 NGS	Mombasa
Operations and Plans Section		
Chief Logistics Officer (Operations and Plans)	1 P-5	Nairobi
Military Liasion Officer	2 P-4	Nairobi

Office of the Director	*Number/level*	*Location*
Logistics Officer	2 P-4; 1 P-3	Nairobi
Logistics Assistant	1 NGS	Nairobi
Subtotal	**45**	
Administrative Services		
Office of the Chief, Administrative Services		
Chief Administrative Services	1 D-1	Nairobi
Administrative Assistant	1 FS	Nairobi
Finance Section		
Chief Finance Officer	1 P-4	Nairobi
Finance Officer (Chief Payroll and Payment)	1 P-3	Nairobi
Finance Officer (Cashier)	1 FS	Nairobi
Finance Assistant	2 FS; 3 NGS	Nairobi
Finance Assistant	1 FS; 1 NGS	Mombasa
Human Resources Section		
Chief Civilian Personnel Officer	1 P-4	Nairobi
Human Resources Officer	1 FS	Nairobi
Human Resources Assistant	2 FS; 2 NGS	Nairobi
Human Resources Assistant	1 FS; 1 NGS	Mombasa
Human Resources Officer (Travel)	1 NPO	Nairobi
Administrative Assistant	1 NGS	Nairobi
Training Section		
Training Officer	1 P-4	Nairobi
Associate Training Officer	1 NPO	Nairobi
Training Assistant	1 FS; 1 NGS	Mombasa
Procurement Section		
Chief Procurement Officer	1 P-5	Nairobi
Procurement Officer	1 P-4; 1 P-3; 1 FS	Nairobi
Procurement Assistant	2 FS	Nairobi
Administrative Assistant	1 NGS	Nairobi

Office of the Director	*Number/level*	*Location*
Procurement Support Unit		
Procurement Officer	1 P-3	Nairobi
Procurement Assistant	1 FS	Mombasa
Administrative Assistant	1 NGS	Mombasa
Contract Management Section		
Chief Contract Management Officer	1 P-5	Nairobi
Contract Management Officer	2 P-4; 2 P-3	Nairobi
Contract Management Officer	1 P-3	Mombasa
Contract Management Assistant	1 FS	Mombasa
Administrative Assistant	1 NGS	Nairobi
Subtotal	**44**	
Technical Services		
Office of the Chief, Technical Services		
Chief, Technical Services	1 D-1	Nairobi
Administrative Assistant	1 FS	Nairobi
Aviation Section		
Chief Aviation Officer	1 P-4	Nairobi
Air Operations Officer	1 NPO	Nairobi
Air Operations Assistant	1 NGS	Nairobi
Air Operations Assistant	1 FS; 1 NGS	Mombasa
Air Operations Officer	1 P-3; 1 NPO	Nairobi
Air Operations Officer	1 FS	Mombasa
Air Operations Assistant	1 NGS	Mombasa
Engineering Section		
Chief Engineer	1 P-5	Nairobi
Administrative Assistant	1 NGS	Nairobi
Budget Assistant	1 FS	Nairobi
Project Management Unit		
Engineer (Project)	1 P-4	Nairobi
Associate Engineer (Design)	1 P-2	Mombasa

Office of the Director	*Number/level*	*Location*
Engineer	1 NPO	Mombasa
Administrative Assistant	1 NGS	Mombasa
Construction Services Unit		
Engineer	1 P-4; 1 P-3	Mombasa
Engineering Assistant	4 FS	Mombasa
Assets and Materials Management Unit		
Materials and Assets Assistant	2 NGS	Mombasa
Facilities and Management Unit		
Facilities and Management Officer	1 FS	Nairobi
Facilities Assistant	3 FS	Mombasa
Generator Mechanics	1 FS; 3 NGS	Mombasa
Building and Maintenance Assistant	1 FS	Mombasa
Geographic Information Services Section		
Geographic Information Officer	1 P-4	Nairobi
Geographic Information Officer	2 NPO	Nairobi
Medical Services Section		
Chief Medical Officer	1 P-4	Nairobi
Medical Officer (Environmental/Public Health)	1 P-3	Nairobi
Medical Assistant	1 FS	Nairobi
Medical Officer	1 NPO	Nairobi
Pharmacist	1 NPO	Mombasa
Nurse	1 NPO	Mombasa
Administrative Assistant	1 NGS	Nairobi
Movement Control Section		
Chief Movement Control Officer	1 P-4	Nairobi
Movement Control Officer	1 FS	Nairobi
Movement Control Assistant	1 NGS	Nairobi
Administrative Assistant	1 NGS	Nairobi
Movement Control Officer	1 FS	Mombasa
Administrative Assistant	1 NGS	Mombasa
Movement Control Officer	1 FS; 3 NPO	Mombasa
Movement Control Assistant	7 NGS	Mombasa

Office of the Director	*Number/level*	*Location*
Supply Section		
Chief Supply Section	1 P-5	Nairobi
Administrative Assistant	1 NGS	Nairobi
General Supply Unit		
Supply Officer	1 FS	Mombasa
Supply Assistant	1 FS; 2 NGS	Mombasa
Rations Supply Unit		
Rations Officer	1 P-3	Mombasa
Rations Assistant	2 FS; 1 NGS	Mombasa
Fuel Supply Unit		
Fuel Officer	1 FS	Nairobi
Fuel Assistant	1 NGS	Nairobi
Associate Fuel Officer	1 NPO	Mombasa
Fuel Assistant	1 FS; 1 NGS	Mombasa
Transport Section		
Chief Transport Section	1 P-4	Nairobi
Administrative Assistant	1 NGS	Nairobi
Transport Officer	1 FS	Mombasa
Transport Assistant	1 NGS	Mombasa
Vehicle Mechanic	2 FS	Mombasa
Driver	8 NGS	Nairobi
Driver	3 NGS	Mombasa
Transport Assistant	1 FS	Mombasa
Communications and Information Technology Services Section		
Chief Communications and Information Technology Officer	1 P-5	Nairobi
Administrative Assistant	1 NGS	Nairobi
Coordination and Accounts Unit		
Communications and Information Technology Coordination Officer	1 FS	Nairobi
Telephone Billing Assistant	1 FS	Nairobi
Communications Unit		
Telecommunications Officer	1 P-4	Nairobi
Satellite Technician	1 FS	Nairobi
Telecommunications Technician	1 NGS	Nairobi

Office of the Director	*Number/level*	*Location*
Information Technology		
Chief Information Technology Officer	1 P-4	Nairobi
Information Technology Technician — Local Area Network	1 NGS	Nairobi
Information Technology Technician — Wide Area Network	2 FS	Nairobi
Help Desk		
Information Technology Technician — Application Support	2 FS	Nairobi
Information Technology Officer — Desktop Support	1 FS; 2 NGS	Nairobi
Information Technology Technician — Lotus Administration	1 FS	Nairobi
Mombasa Communications and Information Technology Office		
Chief Communications and Information Technology Officer	1 FS	Mombasa
Administrative Assistant	1 NGS	Mombasa
Communications Unit		
Telecommunications Officer	1 FS	Mombasa
Satellite Technician	1 FS	Mombasa
Telecommunications Technician	1 NGS	Mombasa
Information Technology Unit		
Information Technology Officer	1 P-3	Mombasa
Information Technology Technician	1 FS; 1 NGS	Mombasa
Help Desk		
Information Technology Technician — Application Support	1 FS	Mombasa
Information Technology Officer — Desktop Support	1 FS; 1 NGS	Mombasa
Information Technology Technician — Lotus Administration	1 FS; 1 NGS	Mombasa
Assets Management Unit		
Material and Assets Assistant	1 FS	Mombasa
Warehouse Assistant	1 FS; 1 NGS	Mombasa
Disaster Recovery and Business Continuity Unit		
Information and Communications Technology Technician	2 FS	Mombasa
Subtotal	**130**	
Total, fixed-term posts	**240**	

Office of the Director		*Number/level*	*Location*
General temporary assistance positions			
Recruitment Cell	Human Resources Officers	1 P-4; 1 P-3	Nairobi
	Human Resources Assistant	3 FS	
Resident Audit Office	Chief Resident Auditor	1 P-5;	Nairobi
	Resident Auditor	1 P-4;	
	Resident Auditor	1 P-3;	
	Auditing Assistant	1 NGS	
Mombasa project team	Senior Project Officer	1 P-5;	Mombasa
	Project Officer	1 P-4	
Planning capacity to the African Union		29	New York and Addis Ababa
Total, general temporary assistance positions		**40**	
Grand total, fixed-term posts and temporary positions		**280**	

Abbreviations: FS, Field Service; NGS, National General Service; NPO, National Professional Officer.

United Nations A/64/510

General Assembly

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Sixty-fourth session
Agenda item 128
International Tribunal for the Prosecution of Persons Responsible for Serious Violations of International Humanitarian Law Committed in the Territory of the Former Yugoslavia since 1991

Letter dated 28 October 2009 from the Secretary-General addressed to the President of the General Assembly

I have the honour to transmit the attached letter dated 29 September 2009 from Judge Patrick Robinson, President of the International Tribunal for the Former Yugoslavia (see annex).

President Robinson seeks the extension of the terms of office of two ad litem judges, Judge Kimberly Prost (Canada) and Judge Ole Bjorn Stole (Norway), until the end of March 2010, in order that they may complete the judgment in the case of the *Prosecutor v. Popović et al.* According to Security Council resolution 1837 (2008), the current terms of office of Judge Prost and Judge Stole will expire on 31 December 2009.

The statute of the International Tribunal does not provide for the extension of the terms of office of the ad litem judges. In the absence of such a provision, the approval of the Security Council, as the parent organ of the International Tribunal, and of the General Assembly, as the organ that elects its judges, is necessary.

I should be grateful if you would bring the letter from President Robinson to the attention of the members of the General Assembly.

(*Signed*) **BAN** Ki-moon

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Annex*

I have the honour to refer to the 116th plenary meeting of the fifty-ninth session of the General Assembly, of 24 August 2005, at which 27 ad litem judges of the International Criminal Tribunal for the Former Yugoslavia were elected for a mandate of four years. I also refer to resolution 1837 (2008) and resolution 1877 (2009), which were adopted by the Security Council on 29 September 2008 and 7 July 2009, respectively.

By the present letter I wish to advise that, due to unforeseen factors related to the scale and complexity of the case of *Popović et al.*, the delivery of the judgment is delayed and will not be rendered until the end of March 2010. The terms of office of the two ad litem judges serving on the *Popović et al.* case, Judge Kimberly Prost (Canada) and Judge Ole Bjørn Støle (Norway), will expire on 31 December 2009. Accordingly, an extension of their mandates will be required so that they may complete their assignment to this case and so that they can continue to serve beyond the cumulative period of service provided for under article 13 ter, paragraph 2, of the statute of the Tribunal.

The extension of the mandates of Judge Kimberly Prost and Judge Ole Bjørn Støle until March 2010, coupled with the appointment of ad litem Judge Prisca Matimba Nyambe (Zambia) effective 1 December 2009 in order to commence the *Tolimir* trial, will put the Tribunal over its statutory maximum of 12 ad litem judges by one ad litem judge for four months. I note, in this regard, that resolution 1877 (2009) authorized you to exceed the maximum of 12 ad litem judges, to a maximum of 13 at any one time, but only until 31 December 2009.

Accordingly, it has become necessary to seek an extension of the terms of Security Council resolutions 1800 (2008) and 1877 (2009) as the International Tribunal will continue to have a total number of ad litem judges over the statutory limit until the delivery of the judgment in the *Popović et al.* case.

While it is regrettable that the anticipated date for delivery of the judgment in the *Popović et al.* case has not been met, estimation of the length of a trial, including the delivery of a judgment, is not an easy matter. The *Popović et al.* case contains multiple counts, including charges of genocide and crimes against humanity that were allegedly committed at 20 different crime sites in the former United Nations safe haven of Srebrenica; the case also has seven accused and deals with a large number of military forces and over 7,000 alleged victims. The case has made steady progress since its commencement and suffered no major delays. However, at the very end of the case, it was necessary to re-open the Prosecution's case due to recently discovered evidence and this caused an extension of the proceedings.

I would be grateful if this matter could be brought to the attention of the Security Council as a matter of urgency.

(Signed) Patrick **Robinson**
President

* Also circulated as S/2009/570.

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Sixty-fourth session
Agenda items 132 and 140

Proposed programme budget for the biennium 2010-2011

Report on the activities of the Office of Internal Oversight Services

Audit of conference services put at the disposal of the Human Rights Council in 2009

Report of the Office of Internal Oversight Services

"Insufficient resources were put at the disposal of the Division of Conference Management to provide conference services to the Human Rights Council"

Summary

Pursuant to General Assembly resolution 63/284, the Office of Internal Oversight Services (OIOS) conducted an audit of the circumstances that led to insufficient conference services being put at the disposal of the Human Rights Council in 2009.

OIOS concluded that insufficient resources had been put at the disposal of the Division of Conference Management to provide conference services to the Human Rights Council while maintaining the same level of service to the Division's other Geneva-based clients. The Division of Conference Management substantively reports to the Department for General Assembly and Conference Management and administratively to the United Nations Office at Geneva. The resource requirements for providing conference services to the Council's universal periodical review process were estimated at $3,847,300 for the biennium 2008-2009, but the Office of the United Nations High Commissioner for Human Rights (OHCHR) and the Division of Conference Management overlooked the fact that three annual sessions had to be provided for. Thus, the real resource requirements were nearly three times higher than the estimate. However, the amount finally approved by the General Assembly was only $874,000. The combination of these factors indicated that the processes for determining resource requirements for conference services on an "as required" basis needed to be reviewed.

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The permanent capacity of the Division of Conference Management needs to be increased to provide more flexibility in dealing with fluctuations in the volume and timing of the document-processing workload. The workload had been fairly predictable until the creation of the Human Rights Council, with the ability to request conference services on an "as required" basis. To that end, OIOS is of the view that it is necessary for the Division of Conference Management, in consultation with the Department for General Assembly and Conference Management, to develop a strategy for ensuring that the optimal permanent staffing levels required for providing acceptable standards of service are established. If immediate action is not taken to address this issue, other Geneva-based clients serviced by the Division of Conference Management could face a problem similar to that experienced by the Human Rights Council.

The audit showed substantial delays in the submission of documents to the Division of Conference Management for processing. For example, in the first six months of 2009, approximately 55 per cent of the documents were submitted late. Compliance with the 10-week rule for the submission of documentation would permit the timely processing of documents relating to the Human Rights Council in all the official languages of the United Nations.

Improved communication, coordination and collaboration between OHCHR and the Division of Conference Management could improve mutual understanding of constraints and facilitate more effective resolution of differences and misunderstandings that may occur in the course of operations. OIOS is of the view that the Division of Conference Management, in cooperation with OHCHR, should establish a more effective collaborative arrangement through the designation of focal points, regular meetings and outreach activities to facilitate better coordination and collaboration.

I. Introduction

1. Pursuant to General Assembly resolution 63/284, the Office of Internal Oversight Services (OIOS) conducted an audit of conference services provided to the Human Rights Council. The main objective of the audit was to determine the circumstances that led to insufficient conference services being provided by the Division of Conference Management to the Human Rights Council in 2009, specifically in the areas of document processing and translation.

2. The Division of Conference Management, located in Geneva, substantively reports to the Department for General Assembly and Conference Management and administratively to the United Nations Office at Geneva. A chronological overview of the main issues relating to the provision of conference services to the Human Rights Council is summarized in paragraphs 3 to 10 below.

A. Establishment of the Human Rights Council

3. The General Assembly, in its resolution 60/251, established the Human Rights Council in Geneva as a subsidiary organ of the General Assembly to assume the roles and responsibilities of the former Commission on Human Rights. In paragraph 5 (e) of the resolution, the Assembly decided that the Council should undertake a universal periodic review of the fulfilment by each State of its human rights obligations and commitments in a manner which ensures universality of coverage and equal treatment with respect to all States.

B. Institutional capacity of the Division of Conference Management

4. In its resolution 5/1 of 18 June 2007, the Human Rights Council set out the modalities of the universal periodic review mechanism. In November 2007, the Secretary-General submitted statements of programme budget implications in accordance with rule 153 of the rules of procedure of the General Assembly on institution-building of the Council (A/C.3/62/L.60 and A/C.5/62/12) in which resources in the amount of $3,847,300 were requested for the biennium 2008-2009 for the Division of Conference Management under budget section 2, General Assembly and Economic and Social Council affairs and conference management, for servicing of the universal periodic review process. In December 2007, the Advisory Committee on Administrative and Budgetary Questions recommended approval of a team of interpreters to improve the scope of the interpretation capacity and five P-5 positions for quality control in connection with contractual translation for the Division of Conference Management (A/62/7/Add.25). The Advisory Committee recommended that no additional resources be provided for the universal periodic review, citing the existence of temporary assistance resources for meetings in the proposed programme budget and the creation of 25 new posts in the Division of Conference Management (A/62/7/Add.26).

5. In September 2008, the Office of the United Nations High Commissioner for Human Rights (OHCHR), pursuant to Council resolution 8/1, submitted a report (A/HRC/9/18) on conference facilities and financial support for the Human Rights Council based on a joint review by OHCHR and the Division of Conference Management of the document processing and submission situation and an

assessment by the Department of Public Information of the information-servicing requirements of the Council, including the proposal to webcast all proceedings of the Council's working groups. The report included the Division's assessment of the situation relating to the submission of documents to the Council, including those concerning the universal periodic review, in particular delays in the translation of documents into the six official languages of the United Nations. It was noted in the report that the Division was constrained by the lack of permanent translation capacity and difficulties associated with recruiting freelancers in the Geneva area. On the basis of that report, a statement of programme budget implications (A/C.3/63/L.77) was submitted to the Third Committee. On 25 November 2008, the Third Committee revised a draft resolution on the report of the Human Rights Council (A/C.3/63/L.57), later adopted as resolution 63/160, by replacing the words "endorses the report of the Human Rights Council" (A/63/53 and Add.1) with the words "takes note of the report of the Human Rights Council". Consequently, the related statement of programme budget implications (A/C.3/63/L.77) was withdrawn, as it no longer applied. Subsequently, the Secretary-General submitted to the Assembly a report on revised estimates resulting from resolutions and decisions adopted by the Council at its ninth session (A/63/541/Add.1), annex II to which contained, for information only, a summary of the resources set out in the withdrawn statement of programme budget implications.

C. Request for waiver of page limits for reports relating to the universal periodic review

6. In February 2009, the Universal Periodic Review Working Group adopted 16 reports, of which 13 exceeded the word limits established by the Human Rights Council (see A/63/53/Add.1), as Member States considered it important to reflect all discussions and recommendations. In March, the United Nations High Commissioner for Human Rights requested the Under-Secretary-General for General Assembly and Conference Management to waive the page limits. The waiver was denied in view of the Council's self-imposed word limits and the lack of resources available to process the additional workload.

7. The Human Rights Council, on 18 June 2009, adopted decision 11/117 on the issuance of reports of the Working Group in all official languages of the United Nations, to be submitted to the General Assembly. During its consideration of the question, an oral statement on the related budget implications was presented to the Council. OHCHR, noting an error in the statement, informed the Council that the resource requirements for the biennium 2010-2011 should be $4,368,900 rather than the $1,439,800 indicated. A revised statement was distributed to the Council the following day.

8. In the decision, the Council decided that: (a) all reports adopted by the Working Group at its fourth and fifth sessions should be issued as official documents in all official languages of the United Nations prior to the twelfth session of the Council; (b) the Working Group should endeavour to apply in its reports the word limits established in the annex to president's statement 9/2, bearing in mind that the Working Group was entrusted with the authority to decide on the adoption of reports that exceptionally exceeded those word limits; and (c) reports adopted by the Working Group should be issued as official documents in all official languages of the United Nations in a timely manner before their consideration by the Council.

D. Opinion of the Office of Legal Affairs on word limits

9. In June 2009, the Office of Legal Affairs, pursuant to a request by the Under-Secretary-General for General Assembly and Conference Management for a legal opinion regarding the authority of the Universal Periodic Review Working Group to decide on the adoption of the reports that exceed the word limits established by the General Assembly, the Office indicated that:

> ... when [the] General Assembly reviews the above-mentioned Council decision it would be advisable for it to recall its resolution on the control and limitation of documentation where it has expressed the view that its subsidiary organs and intergovernmental bodies should restrict the length of their reports to thirty-two pages ... Any decision by the General Assembly that leaves room for doubt as to authority of the working group to exceed the thirty-two page limit should require the Secretariat to edit and translate reports that go beyond this limit. This would have financial implications for the Organization, as additional resources would be needed in order to deal with growing workload that such reports generate and it is incumbent upon the Secretary-General to draw the Assembly's attention to such concerns.

10. This was interpreted by the Department to mean that neither the Universal Periodic Review Working Group nor the Human Rights Council was entitled to waive word limits without approval by the General Assembly and a related statement of programme budget implications.

II. Insufficient financial resources at the disposal of the Division of Conference Management to service the Human Rights Council

11. An oral statement on the financial implications of the adoption of Council resolution 5/1, which sets out the modalities of the universal periodic review mechanism, was presented to the Council during its consideration of the question. The estimate included an amount of $3,847,300 for conference-servicing requirements for the Working Group in the biennium 2008-2009. The amount was computed by OHCHR and the Division of Conference Management using the normal procedure whereby standard costs are multiplied by workload estimates. It was subsequently determined that the estimate was insufficient because the workload estimates used as the basis for the computation pertained to only one session rather than the three annual sessions provided for in the resolution. Thus, the real resource requirements were nearly three times higher, at an estimated $9,757,566, based on the workload and servicing requirements of the sessions of the Working Group. Although the error was noted before the financial implications were considered by the Fifth Committee, the Office of Programme Planning, Budget and Accounts considered that it was too late to make changes to the final budget estimates and that the Department for General Assembly and Conference Management could accommodate the additional financial resources from its budget. The Division of Conference Management did accommodate the additional requirements by not processing summary records of the sessions of the Human Rights Council held in 2008, at the request of the Council, on the assumption that the resulting gain in capacity would cover the processing of documents pertaining to the universal periodic review. In addition, although the resources necessary to process

the reports of the universal periodic review in all official languages were lacking, the Division of Conference Management did process the recommendations contained in the reports, despite their non-adherence to the limit of 9,630 words per report, so that the Council could proceed with the programme of work for its eleventh session. The Advisory Committee on Administrative and Budgetary Questions recommended that no net additional resources be provided for servicing the Human Rights Council (see A/62/7/Add.26) since the Division of Conference Management had been allocated 25 new posts (20 for interpreters and 5 for managing translation) (see A/62/7/Add.25). The General Assembly finally approved only $874,000 for the full servicing of the universal periodic review in 2008-2009, which was significantly less than the requirements; that amount was lower than the actual resources required to prepare the documentation for the first session of the Universal Periodic Review Working Group.

12. OHCHR has limited time in which to prepare estimates of resource requirements in collaboration with the Division of Conference Management. The time may be limited to only a few days, and changes to a draft resolution may occur in the moments before final voting, thus affecting the financial implications. *OHCHR stated that this matter was complicated and that the processes in need of review were not necessarily those of OHCHR, but rather involved the entire system, including the Division of Conference Management, the Programme Planning and Budget Service in Geneva and the Office of Programme Planning, Budget and Accounts in New York. OHCHR was not in a position to review final budgetary projections until a formal statement was released by the Office of Programme Planning, Budget and Accounts. Thus, in the two instances cited, the per session cost estimates had not been multiplied by the appropriate number of meetings.* The audit showed that the processes used at OHCHR for preparing estimates of financial resources for the provision of conference services in connection with the universal periodic review process did not ensure accuracy of the budgetary projections and oral statements submitted to the Office of Programme Planning, Budget and Accounts. There is a need for strengthening the review processes in order to ensure the accuracy of future budgetary projections.

III. Insufficient capacity of the Division of Conference Management to service the Human Rights Council and other Geneva-based clients

13. In 2008, the permanent capacity of the Division of Conference Management was increased, primarily in interpretation, and the scope of contractual translation services was expanded as well. The Division confirmed that additional funding on an ad hoc basis could not help it to alleviate some of the problems that led to delays and backlogs in translating documents relating to the Human Rights Council and the universal periodic review. OIOS agrees with the Division that there is a need to increase permanent capacity to provide the Division with more flexibility in dealing with fluctuations in the volume and timing of the document-processing workload, which had been fairly predictable until the Council was created with the ability to request conference services on an "as required" basis.

14. In formulating the budget for the biennium 2008-2009, the Division of Conference Management made an effort to increase its permanent capacity in the

area of document processing. However, OIOS is of the opinion that those efforts were unsuccessful because the Division did not include information concerning its current capacity, shortfalls and estimated resource requirements based on productivity levels. It was therefore difficult for the Division to make a convincing case for a permanent increase in resources. There are data from various sources regarding resource requirements, productivity levels and estimated capacity in various sections of the Division that do justify the need for additional capacity, and these should be used for formulating a strategy for resource allocation purposes in the Department for General Assembly and Conference Management as a whole. This is necessary in order to determine the resource needs to be met by the Department on short notice, as well as the extent to which the permanent capacity of the Division needs to be expanded. The strategy also needs to consider the use of computer-assisted translation. If immediate action is not taken to address this strategic issue, other Geneva-based clients serviced by the Division could face similar problems in implementing their work programmes. *The Department for General Assembly and Conference Management stated that Member States had been apprised time and again, in various forums and in considerable detail, of the very significant unexpected additional workload generated by the Human Rights Council following its establishment. As a result, the Department finds it difficult to conclude that the reluctance of Member States to allocate additional resources is the result of the provision of inadequate information.*

15. OIOS is of the opinion, however, that a strategy document would be a useful basis for an assessment by the Division of Conference Management and the Department as a whole of the financial implications and resource requirements of draft resolutions and decisions. It would be particularly useful in situations where the resolution or decision would result in significant increases in workload and where the Department has to organize the workload in terms of the integrated global management initiative (see A/64/166), under which the four conference-servicing duty stations of New York, Geneva, Vienna and Nairobi have started the process of streamlining operations, sharing resources and workload and achieving economies of scale. Integrated global management is an approach for identifying future budgeting and management practices that address the resource implications of open-ended mandates, such as those entailed by the creation of the Human Rights Council and the in- and post-session documentation for the universal periodic review process. Such situations are not adequately addressed in the current mechanism, since the financial implications are computed by simply multiplying the workload estimates by standard costs.

IV. Delays in the submission and processing of documents

16. According to General Assembly resolution 47/202 B, all documents to be translated are to be submitted 10 weeks before the commencement of the session. The Division of Conference Management has four weeks to process the documents, which includes pre-editing, evaluation, referencing, translation, text processing, reproduction and distribution. Finalized documents must be submitted to OHCHR for distribution to Member States six weeks before the opening of the session. *The Department for General Assembly and Conference Management stated that compliance with the 10-week rule for the submission of documentation was essential for timely processing and for ensuring issuance — a requirement always stressed in the annual General Assembly resolution on the pattern of conferences.*

17. Of the pre-session documents accepted from the Human Rights Council for processing in 2008, approximately 67 per cent were submitted late. During the first six months of 2009, approximately 55 per cent of the documents were submitted late. Of the documents received on time, the Division of Conference Management issued 64 per cent on time, that is, within the six-week timeline, in 2008 (88 per cent in 2009, as at 20 July). OIOS notes the improvement made since 2008 by both the Council in timely submission and the Division in timely processing. OHCHR indicated that it did not have control over all types of reports emanating from special rapporteurs and Member States. However, OHCHR acknowledged that its reports were late as well and that it should be making a greater effort to ensure timely submission. OHCHR also indicated that it had limited information regarding the nature of the 10-week rule and on the extent of editing included in the 10-week timeline, and that it needed further clarification of the Division's processes. The pre-session editing of documents is an issue that requires greater coordination in view of the different interpretations attached to the timeline for such editing and its impact on the 10-week requirement, as does the issue of when a document is accepted for processing by the Division and officially entered into the document-tracking system. Nevertheless, compliance with the requirement would essentially permit the timely processing of documents relating to the Human Rights Council in all the official languages of the United Nations. *OHCHR stated that the question of "pre-editing" was an important consideration in the submission of documents. The requirement for extensive "pre-editing" by the substantive office in Geneva placed an additional burden on the Office and also raised issues of consistency and standards, as the substantive office was thus required to have editorial capacity outside the established system of editorial control. This was a key issue having considerable impact on the capacity of OHCHR to meet its submission deadlines, and should accordingly be addressed as a priority.*

V. Submission of documents in excess of page limits

18. The Human Rights Council established a number of word and page limits to guide its activities and ensure the availability of resources to match its document-processing needs. By its resolution 5/1, the Council developed modalities for pre-session documents relating to the universal periodic review, including a limit of 20 pages for the presentation of information by the concerned member State, a limit of 10 pages for information compiled by OHCHR and a limit of 10 pages for additional information provided by other relevant stakeholders. In its resolution 62/219, the General Assembly endorsed the Council's decision to adopt resolution 5/1. Furthermore, the Council imposed word limits for in-session and post-session documentation related to the universal periodic review, which were set five months after the first session of the Working Group, at 9,630, 3,210 and 2,675 words, respectively (see A/63/53/Add.1, annex).

19. The Division of Conference Management and OHCHR also have to take into consideration the following General Assembly resolutions and other guidelines concerning page and word limits for official documents:

(a) Assembly resolution 36/117 A, in which the overall limit of reports of subsidiary bodies of the Assembly is set at 32 pages;

(b) Report of the Secretary-General on the control and limitation of documentation (A/52/291), in which the limit for documents originating within the Secretariat is set at 16 pages;

(c) Assembly resolution 53/208 B, in which the Assembly stressed the need for compliance with word limits and invited intergovernmental bodies to reduce the length of reports to 20 pages. The Department for General Assembly and Conference Management utilizes a corresponding word limit of 10,700 words for reports of intergovernmental bodies.

20. The above-mentioned limits were not adhered to in a number of instances. For example, the reports of the fourth session of the Working Group, which were to be considered at the eleventh session of the Human Rights Council, included 13 reports that exceeded the limit of 9,630 words by a total of 35,066 words. Such excesses not only had financial implications, but also disrupted the workflow, as priorities had to be adjusted and the costs absorbed within the permanent capacity of the Division of Conference Management. Overall, of the pre-session documents accepted for processing from the Human Rights Council, only 9 per cent were within the page limit in 2008 and 12 per cent were within the page limit in 2009.

21. The Human Rights Council, in its decision 11/117, provided for page limits and exceptions to the limits. The Council decided that the Universal Periodic Review Working Group should endeavour to apply in its reports the word limits established in president's statement 9/2 and could decide on the adoption of reports that exceptionally exceeded the word limits.

VI. Inadequate coordination between the Division of Conference Management and the Office of the High Commissioner affecting the efficiency of operations

22. The effective provision of conference services to the Human Rights Council relies on the joint efforts of the Division of Conference Management and OHCHR as the conference services provider and the substantive secretariat, respectively. Improved communication, coordination and collaboration between the two entities would improve mutual understanding of the constraints faced and facilitate more effective resolution of differences and misunderstandings that may occur in the course of operations. Joint planning of servicing needs and outreach activities, as well as greater sharing of tools, templates and the editorial toolbar could improve service provision. Similarly, briefing Member States on the nature of conference services provided to them could raise awareness of the availability of services and the constraints faced in their delivery.

23. Although meetings have taken place between the Division of Conference Management and the Human Rights Council secretariat in OHCHR, greater collaborative efforts are needed at the policy level to verify conference-servicing needs arising from new mandates so as to limit the impact on service delivery and timeliness. Establishing a more permanent collaborative arrangement between the Division of Conference Management and OHCHR with interdisciplinary teams and a focal point who participates in the Council's meetings would facilitate better coordination and collaboration. *OHCHR stated that it would be useful for the Division to have a focal point to participate in meetings of the Council so as to take*

note of the Council's intentions and clarify requirements included in its draft resolutions. Likewise, more consistent sharing of information would be useful and would facilitate better coordination. The Department for General Assembly and Conference Management stated that the establishment of a working group comprising representatives of the Council, OHCHR and the Division tasked specifically with solving issues pertaining to the full and timely servicing of the Council has already begun its work. The Department is confident that the working group will produce practical and actionable results in the near future.

VII. Recommendations

Recommendation 1

24. OHCHR should improve the processes involved in estimating the budgetary implications of the Human Rights Council's decisions, considering that current arrangements are not adequate to cope with the Council's new mandates.

25. *OHCHR stated that statements of programme budget implications were prepared in accordance with the rules of procedure of the General Assembly. The process of preparing statements for presentation to the Human Rights Council in advance of the adoption of its resolutions required input and collaboration among various parties and was severely constrained by the limited time available for their preparation. It was not simply a matter of OHCHR processes, nor did it fall within the authority of OHCHR to revise the process, which should in fact be considered at the level of the Office of Programme Planning, Budget and Accounts. OHCHR further stated that statements of budgetary implications were merely informational and that there was ample time for further review and recosting if necessary when the estimates were formally presented to the General Assembly. The Department for General Assembly and Conference Management stated that OHCHR usually consulted the Division of Conference Management to ensure the production of more accurate statements of programme budget implications.* OIOS is of the opinion that the current processes are not flexible enough to cope with the ability of the Council to request conference services on an "as required" basis. OHCHR therefore needs to liaise with the Division of Conference Management and the Office of Programme Planning, Budget and Accounts to identify ways to strengthen the review processes to ensure the accuracy of budgetary projections of the Council's decisions.

Recommendation 2

26. The Division of Conference Management, in consultation with the Department for General Assembly and Conference Management, should develop a strategy that will include the optimal permanent staffing levels required to provide acceptable standards of service. The strategy should take into account the capacity of the Department as a whole, including an assessment of any shortfalls in capacity or excess capacity at other duty stations, such as Nairobi, Vienna and New York, and the use of computer-assisted translation.

27. *The Department for General Assembly and Conference Management stated that it fully supported the first part of the recommendation and would duly submit a proposal to the Fifth Committee. With regard to the second part, permanent capacity as a rule has always been set at a level to match the low watermark of the anticipated workflow, and the shortfall during peak periods is filled by contractual*

services and temporary assistance, meaning that there is no planned idle capacity to absorb additional workload at other duty stations. This is the rationale behind the biennial calendar of conferences, which seeks to even out meetings across all duty stations. The use of contractual translation and temporary assistance, with their long lead times, cannot effectively address documents that are submitted late or over the page limits. If Member States require the Department to have additional flexibility to process such documents expeditiously, as implied in the recent draft resolution proposed by the Committee on Conferences (see A/64/32, annex I), then additional permanent capacity will be required and will need to be included in the strategy to be prepared. OHCHR and the Division of Conference Management will submit a draft of the statement of programme budget implications of the adoption of the draft resolution.

Recommendation 3

28. OHCHR should improve the timeliness of document submission and work with the Division of Conference Management to increase awareness of the implications of non-adherence to General Assembly resolution 47/202, in which the Assembly urged substantive departments of the Secretariat to comply with the rule which requires them to submit pre-session documents at least 10 weeks before the beginning of a session in order to permit their timely processing in all the official languages of the United Nations.

29. *OHCHR accepted the recommendation. The Department for General Assembly and Conference Management stated that the Division of Conference Management had been highlighting this issue at every opportunity. Furthermore, even when all documents are submitted on time, the Division would need adequate permanent capacity in order to ensure timely processing and distribution.*

Recommendation 4

30. The Department for General Assembly and Conference Management should prepare a document for consideration by the General Assembly consolidating existing guidelines on word and page limits and clarifying the number of words per page and pages per document for reports, including all documents submitted by the Secretariat directly and on behalf of intergovernmental bodies.

31. *The Department stated that it was in the process of drafting such a document.*

Recommendation 5

32. The Division of Conference Management, in cooperation with OHCHR, should improve coordination of activities that have an impact on the efficient provision of conferencing support to the Human Rights Council by designating focal points, holding regular meetings and conducting outreach activities.

33. *The Department stated that a working group consisting of representatives of the Human Rights Council, OHCHR and the Division of Conference Management was collaborating in an effort to propose solutions to the current challenges.*

(*Signed*) Inga-Britt **Ahlenius**
Under-Secretary-General for Internal Oversight Services